Towards a Systemic Ethic In search of an Ethical Basis for Sustainability and Precaution

Hugo Fjelsted Alrøe and Erik Steen Kristensen*

ABSTRACT: Environmental issues and questions of sustainability and precaution have come into focus in agriculture, and in society in general, in recent decades. There are, however, many different meanings of sustainability and precaution, and there is no evident connection between these new normative concepts and the traditional moral theories. This paper seeks an ethical basis for sustainability and precaution – a common framework that can serve as a means of resolving the conceptual ambiguities of the new normative concepts and as a means of resolving conflicts between new and traditional moral concepts and theories. The method employed is to analyse the past and possible future extension of ethics and establish an inclusive framework of ethical extension. The extension of ethics is driven by the increased awareness of several, not unrelated aspects, such as the similarities between man and other living beings and their common ecological relations, the increasing human influence on natural systems and processes, and the limits of human knowledge and control. In accordance, the analysis is characterised by a systemic approach, and the established framework forms the basis for what we call a systemic ethic.

I. THE MEANING OF SUSTAINABILITY AND PRECAUTION

There is an increasing focus on environmental and global issues in society and this is reflected in the widespread use of concepts such as sustainability and the precautionary principle. These concepts, on the other hand, pose a challenge to ethical theory. Ethical conduct is an ancient theme in human thought, but sustainability and precaution are quite new normative concepts connected with the rapid scientific and technological development and the growing environmental concern in the recent decades. In fact, the field of environmental ethics is only a few decades old. As late as in 1979, Goodpaster & Sayre noted that:

Few philosophers have systematically addressed the difficult problems associated with applying ethical theory to social and environmental issues. ... at a time in history when [human] behavior is increasingly consequential for human life and for life in general. ¹

The purpose of the present paper is to investigate the moral basis for sustainability and precaution by way of a systemic approach to ethics. The concrete context of the paper is considerations on sustainability and precaution in agricultural research – in particular research in organic agriculture, which demonstrates an alternative agricultural practice and opens up for new perspectives on nature. But the scope of the investigation is not

Preprint 19.Sep.2001. A revised version of this paper is forthcoming in *Environmental Ethics*.

restricted to agriculture. Agriculture can, in many ways, be taken as a fertile example for understanding the moral aspects of environmental problems. Agriculture is an ancient and very intimate relationship between man and nature that involves both ecological and social systems, and agricultural production depends on natural processes that can only to some degree be controlled by man. Investigating environmental problems in agriculture involves the dual challenge of understanding complex ecological and biophysical processes, and handling the involvement of human actors, their practices and preferences – a situation that can be seen to be common to environmental issues in general. This paper is therefore also relevant to the wider context of environmental ethics.

There is, however, no single, well-defined meaning of either sustainability or precaution.

The diversity of meanings of sustainability can be analysed in relation to different perceptions of nature. It is common to distinguish between different "myths of nature", where nature is seen as robust or vulnerable in terms of ecological resilience.² This is related to the distinction between weak and strong sustainability in environmental economics.³ Strong sustainability builds on two assumptions: that nature is (more or less) vulnerable and that society is dependent on nature in terms of basic life support services. This means that manmade and natural capital cannot be infinitely substituted. Weak sustainability assumes that they can, and it is therefore essentially an economic concept that has no separate normative content. Strong sustainability has a normative content that is directed towards the value of the environment for present and future generations.

Despite their differences, the above perceptions of nature and concepts of sustainability share a view of man as distinct from nature. They do not, however, explicate the relationship between man and nature. This is where agriculture brings in a new perspective on sustainability. Gordon Douglass has described three different meanings of agricultural sustainability that are used by different groups with different views and values.⁴ Food sufficiency speaks of sustainability in terms of sufficient food production in relation to future needs. The target is to be met through technological development and use of resources. Agriculture is an instrument for feeding the world, based on economic cost-benefit analyses. This usage is mainly found in conventional agriculture. Stewardship is concerned with the ecological balance and the biophysical limits to agricultural production. Sustainability in this sense constrains the production and determines desirable population levels. Community shares the concern for ecological balance, but with a focus on the permanence and self-reliance of the agricultural system and the effects of different agricultural systems on the social organisation and cultural values of rural life. This usage is mainly found in alternative forms of agriculture, including organic farming.

On this background, we can distinguish between two different kinds of conceptions of man's relationship to nature. A *distinctive* kind that sees man as separate from nature, and a *systemic* kind that sees man as an integral part of nature (Figure 1). This leads to

three different views of nature. Within the distinctive conception of nature there are two opposite perspectives on nature and the value of nature. In the Culturist View of Nature the controlled, well-ordered and cultivated nature is the good nature, while the Naturalist View of Nature values the wild, authentic and uncontrolled nature – nature untouched by man. The systemic perspective on nature can be characterised as an Ecologist View of Nature, which values the intimate and mutually benign relations between human and nature. (These generic terms do, off course, not preclude that an agriculturist may have an Ecologist View of Nature, an ecologist may have a Naturalist View of Nature, etc.)

This threefold distinction can be used as a more comprehensive organising principle for evaluative (e.g. nature quality) and normative concepts that refer to nature and the environment. For example in relation to the three meanings of sustainability identified by Douglass: food sufficiency takes a Culturist View of Nature, stewardship takes a Naturalist View, and community takes an Ecologist View of Nature. Another example is the distinction between two schools within the philosophy of nature conservation, compositionalism and functionalism,⁵ where compositionalism corresponds to the Naturalist View, while functionalism corresponds to the Ecologist View of Nature.

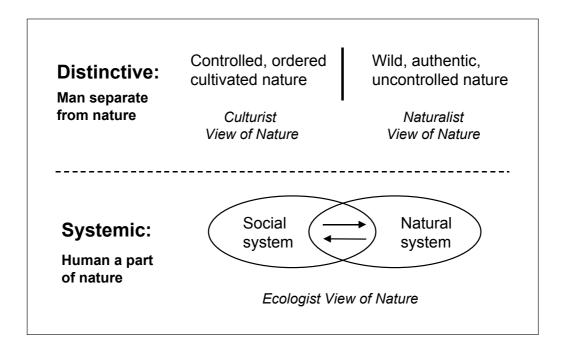


Figure 1: Two different conceptions of the relationship between man and nature, distinctive and systemic, that imply three different views of nature.

Paul Thompson suggests that two philosophical approaches to sustainability have emerged: *Resource sufficiency* (which corresponds to Douglass's food sufficiency) and *functional integrity* (which encompasses Douglass's stewardship and community meanings of sustainability). Resource sufficiency is an 'accounting' approach that presumes the ability to measure and calculate the proper balance between present resource use and future needs. This leads to debates over the potential for substitution of resources, such as the discussion of strong and weak sustainability referred to above. The notion of functional integrity presupposes an account of a system having crucial elements, such as soil, crops and livestock, ecosystems, cultural values and social institutions, which are reproduced over time in away that depends upon previous system states. The integrity may be nurtured or disrupted by human actions.

Functional integrity does not in itself distinguish between the Ecologist View of Nature, where humans are within the system considered, and the Naturalist View of Nature, which considers ecological systems from outside. But Thompson's dual distinction reveals another aspect of sustainability. He points out that ignorance is handled differently in the two approaches. Resource sufficiency is concerned with anticipation, making predictions and calculating the foreseeable supplies and needs based on available knowledge. Functional integrity emphasises resilience, development of capacities for coping and compensating, and avoidance of irreversible effects, based on recognition of the limits of human knowledge. The distinction made by Thompson therefore reveals a close connection between different conceptions of sustainability and different views of the scope and limits of human knowledge. The latter differences are central to precaution as a normative concept.

There are different approaches to precaution in society. These are related to different conceptions of scientific knowledge and control, which lead to different approaches to handling uncertainty and ignorance. Conventional rational decision making involves risk assessments and cost-benefit analyses that support acting in proportion to the calculated risks or, more generally, the expected utility. This approach to ignorance can be termed 'rational precaution' (in the same sense as 'rational choice theory'). It is based on the available scientific knowledge and ignores ignorance - ignorance regarding the causal consequences of the decision, or regarding the values and preferences of those who might be affected. A different approach to ignorance, known as the precautionary principle, has emerged in the context of environmental law.⁸ This approach to ignorance can be termed 'reflexive precaution'. It involves reflections on the limits of knowledge and control, and deliberate strategies for handling ignorance and uncertainty. According to the precautionary principle, the responsibility towards future as well as present generations obliges us to preserve the natural basis of life and avoid irreversible changes with unforeseeable consequences. The principle requires that preventive action be taken (e.g. by saying no to unpredictable technological activities) when there is a possibility of severe or irreversible damage to the environment. The core is that decisions makers must act in advance of conclusive scientific evidence of the danger. This strategy is supplemented with the development of society's capacity for early detection of dangers

through comprehensive research, and the development and promotion of cleaner technologies.⁹

The present paper seeks an ethical basis for sustainability and precaution. A common framework that can serve as a means of resolving the conceptual ambiguities in the practical use of the new normative concepts and as a means of resolving conflicts between new and traditional moral concepts and theories. The method that is employed here is to analyse the past and possible future extension of ethics and establish an inclusive framework of ethical extension. The extension of ethics has been driven by the increased awareness of several, not unrelated aspects, such as the similarities and relations between man and other living beings, and the increasing human influence on natural systems and processes. In accordance, the analysis is characterised by a systemic approach, and the established framework forms the basis for what we call a *systemic ethic*.

There is a traditional distinction between non-consequentialist ethics, which focus on the intention or motivation behind the act (such as character, virtues, duties), and consequentialist ethics, which focus on the consequences of the act (such as utility). The distinction can be illustrated by a simple model of moral acting:

(moral agent) intentions \rightarrow acts \rightarrow consequences (moral object)

We need to go beyond this distinction to understand sustainability and precaution, because both these traditions are insufficient in front of the rapid technological development and the limited knowledge of the consequences of new technology. In particular, there is a need to go beyond the individualism and rationalism of classical humanist and utilitarian ethics, ¹¹ towards a systemic ethic of responsible acting. That is, an ethic that incorporates the present understanding of social and ecological systems, and which puts emphasis on acts rather than intentions and consequences.

The systemic approach maintains a traditional Aristotelian conception of ethics as critical reflection upon our ideas of the good life and right acting. This understanding of ethics presumes moral agents with self-awareness. That is, a self-reflexive cognitive ability to see oneself as another by way of taking another's point of view on oneself and one's actions. And, hence, an ability to see others as oneself – an ability that can support feelings of sympathy as a motivation for moral acting. Self-awareness is also the basis for responsible acting – the awareness of a choice of action implies moral responsibility.

II. THE EXTENSION OF MORAL CONSIDERABILITY

The historical roots of ethics are found in the relations between the individual and the members of the local community. Ethics concerned the direct dealing of man with man, including the dealing with himself. From today's viewpoint, history shows an extension of ethics from the consideration for one's fellows towards the inclusion of equal men, slaves and women. In the last centuries different theories of ethics have included the universal consideration for all persons (rational beings) or human beings and, more re-

cently, future people and sentient beings. And in the latest decades, the possible further extension of ethics to include living beings and ecosystems has been thoroughly discussed.

In order to analyse the past and possible future extension of ethics, we need to go into the different aspects of ethical acting in some detail and discriminate between different dimensions of the extension. First, we shall look at the step beyond a symmetrical ethics that is based on a mutual obligation between equals. Going beyond a symmetrical ethics involves the distinction between *moral responsibility*, concerning the moral agent, and *moral considerability*, concerning the object of moral consideration.

In the history of western culture, ethics was mostly anthropocentric and symmetrical. Those who were taken into ethical consideration were themselves capable of moral action. This symmetry is expressed in the so-called golden rule of ethics, here from the gospel of Matthew: "In everything, do to others what you would have them do to you". In the very influential ethics of Immanuel Kant there is also a symmetrical foundation, expressed in his well-known single categorical imperative of morality: "Act only on that maxim which you can at the same time will, that it should become a universal law." A universal symmetrical ethics such as Kant's, entailing that all those capable of moral action, and only those, are worthy of moral consideration, can provide a rationale for an extension of ethics beyond one's fellows, but it also precludes the extension of moral considerability beyond persons. Granting a distinction between moral responsibility and moral considerability, on the other hand, leaves open the possible extension of moral considerability. The distinction also allows for a separate inquiry into the space of moral responsibility (see further in section V).

There are different approaches and arguments to the question of where the limit of moral considerability is to be drawn. The history of ethics shows some exceptions to the dominance of symmetrical ethics, such as the Epicureans, who took the good to be pleasure, and recognised that animals as well as humans were capable of feeling pleasure and pain. 13 In most cases, however, any moral consideration for animals was based on concern for the ensuing effects on human moral. Explicit ethical concerns for higher animals were raised in the late 18th century and in the 19th century, for example in the utilitarian ethics of Jeremy Bentham. But only in the 1970's did animal rights become a serious and much debated philosophical subject.¹⁴ The humanitarian movement was, however, in itself part of an extension beyond the symmetrical ethics of persons. The symmetrical ethics is a logically consistent position, but the logic excludes young children and mentally disabled humans from moral consideration. On the other hand, considering all human beings, but no other sentient beings, worthy of moral consideration seems to be difficult to defend against a charge of human chauvinism, or speciesism as Peter Singer has called it, because there seems to be no morally relevant means of establishing human beings as a separate logical category for moral consideration. 15 With the capacity to suffer, or to experience pain and pleasure, as a criterion, there is a strong argument for drawing the limit of moral considerability at sentient beings (leaving the definition of 'a sentient being' as a subject for further discussion).

Environmental ethics has been concerned with a further extension of moral considerability. ¹⁶ Many different kinds of ethics, in terms of the sphere of considerability, may entail an environmental ethic in the sense of 'a concern for the human environment'. William K. Frankena lists eight different 'ethics about the environment' with different spheres of considerability, arguing that each can entail an environmental ethic. ¹⁷ The first five are characterised by still wider spheres, the limits being: 1. oneself, 2. humans or persons, 3. sentient beings, 4. living beings, 5. everything. Type 6 includes only God as a moral object, type 7 includes God and one of the first five types, and type 8 includes only Nature. These environmental ethics will, as Frankena readily admits, be different, and he casts his vote on an ethics where only sentient beings are morally considerable, leaving the rest of the environment to be considered as values in relation to humans and sentient beings. Frankena's point is that we may very well decide to protect the environment because it is of value to us, while not acknowledging any *moral* consideration for non-sentient beings. (The relation between value and moral value is discussed further in section VII)

Type 8 in Frankena's list of ethics is ambiguous as to whether nature is to be left alone (the Naturalist View of Nature) or to be cooperated with, followed and imitated (the Ecologist View of Nature). It is therefore not very helpful as a moral guideline. The other seven ethics focus on individuals, and the moral considerability of ecological systems comes in only as an appendix to the considerability of 'everything' (type 5). Since the considerability of 'everything' is next to a reductio ad absurdum of the idea of an extension of moral considerability, Frankena's structuring of environmental ethics is not favourable to the idea that communities and ecosystems might deserve moral consideration. Views of moral considerability that are based solely on an individualistic approach cannot form a sufficient basis for a systemic normative concept like the functional integrity conception of sustainability.

Kenneth Goodpaster has argued that the two major foundational accounts of morality of the modern period – the 'Humean' family of utilitarian ethics where moral predicates are derived from the interests of individuals, and the 'Kantian' family where ethical imperatives are derived from the rational generalisation of the intrinsic worth of individual beings – share a basic 'individualistic' model of moral sentiment or reason. And he further states that when the individualistic model is the only model available, "its implausibilities will keep us from dealing ethically with environmental obligations or ideals altogether". The historical dominance of the individualistic perspective does not, however, entail that this is the only perspective from which moral considerability can be viewed, as indicated by Goodpaster's plea to, in a sense, "return to the richer Greek conception of a man by nature social ... – though it goes beyond the Greek conception in emphasising that societies too need to be understood in a context, an ecological context, and that it is this larger whole which is the 'bearer of value'."

III. INDIVIDUALISTIC AND SYSTEMIC APPROACHES TO MORAL CONSIDERABILITY

Two perspectives on the extension of ethics are of particular interest in relation to sustainability, because they involve a systemic approach to ethics. They are the ecological perspective, represented here by Aldo Leopold, and the technological perspective represented by Hans Jonas. Leopold, the founder of the 'holistic' form of environmental ethics, took ethics to be a body of self-imposed limitations on freedom of action, based on the recognition that the individual is a member of a community of interdependent parts (corresponding to an Ecologist View of Nature). In "The Land Ethic" he described the historical extension of ethics from tribal members to men from other tribes and other races, and to slaves and women, and argued for a further extension toward incorporating the biotic community: "The land ethic simply enlarges the boundaries of the community to include soils, waters, plants and animals, or collectively: the land. ... The extension of ethics to this ... element in human environments is, if I read the evidence correctly, an evolutionary possibility and an ecological necessity."

While Leopold is regarded as the most important source of modern biocentric or holistic ethics, ²¹ there were important precursors to his evolutionary account of ethics, such as William E.H. Lecky and Charles Darwin. ²² Darwin wrote on the social nature of ethics in "The Descent of Man".

Finally the social instincts, which no doubt were acquired by man as by the lower animals for the good of the community, will from the first have given to him some wish to aid his fellows, some feeling of sympathy, and have compelled him to regard their approbation and disapprobation. Such impulses will have served him at a very early period as a rude rule of right and wrong. But as man gradually advanced in intellectual power, and was enabled to trace the more remote consequences of his actions ... [and as] his sympathies became more tender and widely diffused, extending to men of all races, to the imbecile, maimed, and other useless members of society, and finally to the lower animals, – so would the standard of his morality rise higher and higher.²³

But still, Leopold's 'biotic community' was a radical, novel conception in ethics, because it took a distinctly systemic perspective on man as part of nature, spurred by ecological science. As J. Baird Callicott succinctly stated it: "... ecology changes our values by changing our *concepts* of the world and of ourselves in relation to the world".²⁴

Darwin's mention of the ability to "trace the more remote consequences of actions" points towards the other systemic approach, the ethics of technology. In the traditional ethics, the right way of acting was determined from the immediate consequences, and far and future effects were left to the workings of chance, fate or providence. The practical knowledge necessary for living a good life was not dependent on any special, expert knowledge. No-one was held responsible for the unintended long-term effects of his well-intentioned, well-considered and well-performed act.²⁵ Today, the traditional ethics of face to face encounters is overextended due to the range of the consequences

of human technological action and the magnitude of risks associated with the technological development.

Modern technology has introduced actions of such novel scale, objects, and consequences that the framework of former ethics can no longer contain them. ... No previous ethics had to consider the global condition of human life and the far-off future, even existence, of the race. These now being an issue demands, in brief, a new conception of duties and rights, for which previous ethics and metaphysics provide not even the principles, let alone a ready doctrine.²⁶

According to Hans Jonas, the expansion of human power through the collective practice of technology has created an ethical vacuum. And "novel powers to act require novel ethical rules and perhaps even a new ethics". Jonas summarises the new duties corresponding to our new powers in his theory of responsibility. The expansion of the range and impact of our collective actions and our increased awareness of possible far and future consequences moves the principle of responsibility into the very centre of ethics. We postpone the treatment of these systemic aspects of human action to section V, and investigate the systemic approach to moral considerability in more detail here below.

J. Baird Callicott distinguished between the animal liberation movement and an environmental ethics in the tradition of Aldo Leopold's Land Ethic.²⁹ The extension of ethics to sentient animals in the animal rights movement is individualistic in the same way as traditional humanism. Contrary to this, the Leopoldian environmental ethics is holistic, locating ultimate value in 'the biotic community': "A thing is right when it tends to preserve the integrity, stability, and beauty of the biotic community. It is wrong when it tends to do otherwise." ³⁰ And Leopold's extension of ethics is much more sweeping than the animal rights movement's inclusion of sentient animals, since it enlarges the boundaries of the moral community to include soils, waters, and plants as well as animals.

In line with Goodpaster and Callicott we distinguish between two different paths of extension of moral considerability: an *individualistic* extension in kind and a *systemic* community extension. The individualistic considerability can be extended in the familiar way from the individual moral agent to others of – more or less – the same kind: fellows, persons, human beings, sentient beings, living beings, and things. The possible systemic extension moves along a different path: from individual to family (perhaps including family animals, such as hunting dogs, horses, livestock, or pets), and further to the local community, the larger ecological community (the land and the humans, animals, plants, and other beings living on the land), and the universe.

While this distinction between an individualistic and a systemic path of extension of moral considerability is in line with recent trends in ethics, some justification is needed as to why this is more than a contingent distinction. Goodpaster framed the central problem of modern ethics as the problem of overcoming egoism.³¹ The justification provided here is based on there being two separate ways of 'overcoming egoism', in line with the 18th century discussion of moral sense, which distinguished between 'enlightened self-

interest' and 'disinterested benevolence'. The two ways of overcoming egoism are ways of 'extending the self': an (individualistic) extension by way of identification with other individual 'selves' and a (systemic) extension by way of expanding the boundary of ones own 'self'.

IV. TWO WAYS OF 'EXTENDING THE SELF'

In "The social theory of the self" George Mead has described the 'I' and the 'me' as different aspects of the self (that is, the self seen from different perspectives) in the process of self-consciousness. The 'I' is the actor as well as, but not at the same time as, the observer of the 'me'. Or in other terms, the 'me' is the self as an object – that which is presented to the 'I' as self. This view is based on a social conception of self, where the self which consciously stands over against other selves thereby becomes an object, an other to himself. Mead's theory of the social self lends itself directly to the *individualistic* path of extension of moral considerability, since self-awareness entails the ability to see oneself as another by way of taking another's point of view on oneself and one's actions and, hence (by inference from this knowledge of oneself as object *and* subject to the subjects of similar objects), an ability to see others as oneself – as autonomous subjects with interests more or less like oneself. In other words, the individualistic moral considerability is based on identifying with the other as an 'I' in certain respects.

The rational acknowledgement that another is a subject with interests like oneself, forms a basis for identifying with the other and thus for feelings of sympathy, empathy, or love – this is the way of 'disinterested benevolence'. Hans Jonas says that "it is indeed of the essence of our moral nature that the appeal, as insight transmits it, finds an answer in our feeling. It is the feeling of responsibility." And he continues:

ethics has an objective side and a subjective side, the one having to do with reason, the other with emotion. ... the two sides are mutually complementary and both are integral to ethics itself. Without our being, at least by disposition, responsive to the call of duty in terms of feeling, the most cogent demonstration of this right, even when compelling theoretical assent, would be powerless to make it a motivating force.³⁵

The *systemic* expansion of the self rests on the perception of 'the other' as being, in a certain respect, part of oneself – included in an expanded ecological or relationary perception of self. In an ecological understanding of humans as part of nature, the self is a centre of organisation, with Paul Shepard's term, constantly drawing on and influencing the surroundings, and there is no sharp boundary between self and not self. In the words of Alan Watts, inspired by oriental philosophy: "The world is your body". Callicott quotes Holmes Rolston's meditations on a lake shore:

The waters of North Inlet are part of my circulatory system; and the more literally we take this truth the more nearly we understand it. I incarnate the solar energies that flow through this lake. No one is free-living ... *Bios* [life] is intrinsically symbiosis.³⁷

And Callicott continues:

As one moves, in imagination, outwardly from the core of one's organism, it is impossible to find a clear demarcation between oneself and one's environment. ... Ecology, thus, gives a new meaning as well as new substance to the phrase 'enlightened self-interest'.³⁸

In Mead's terms, this can be seen as based on an expansion of 'me' – of the moral agent's representation of 'myself' as an object. We can thus consider the local community and the wider ecological system as part of an expanded 'me'. The rational acknowledgement that 'the other' is part of an expanded 'me' forms a basis for identifying (in a different sense from above) with the larger system and thus for feelings of self-love – and this is the way of 'enlightened self-interest'.

The two ways of extending the self forms a foundation for extending moral considerability along two different paths, an individualistic and a systemic. The two perspectives may also be combined so that the moral consideration of an individual includes the systemic consideration based on that subject's perspective.

V. TOWARDS AN INCLUSIVE FRAMEWORK FOR ETHICAL EXTENSION

Above, we have distinguished between moral responsibility and moral considerability, and discussed the extension of moral considerability and the distinction between individualistic and systemic aspects of considerability. This is, however, not a sufficient framework for discussing the normative aspects of sustainability and precaution.

In Hans Jonas's theory of moral responsibility the extension of ethics is linked to the expansion of the range of our collective actions and of our knowledge of far and future consequences – uncertain as it is.³⁹ Taking responsible acting as the basis for the analysis of the extension of ethics (instead of moral considerability) allows for a broader ethical framework. A framework that can encompass issues such as the problems concerning technological development, the limits of knowledge, and the responsibility of social systems. In this light it is evident that we need to distinguish further dimensions of moral extension, apart from responsibility and considerability, in order to establish an inclusive systemic framework for ethics. But it is not clear exactly what constitutes those dimensions.

In order to determine the relevant dimensions in which an extension of ethics may take place, we need to elaborate on the simple model of moral acting presented in section I. The new model of moral acting is a second order model that includes self-observation. That is, it is based on a theory of second order cybernetics and a theory of self-referential systems.⁴⁰

In this model, shown in Figure 2, we find first of all the *moral agent* and the object of moral consideration, the *moral object*. These two elements correspond to the dimensions of moral responsibility and moral considerability. Furthermore, we find the moral

agent's *acts*, the *consequences* brought about by these acts and the *impacts* on the moral object. These elements correspond to a third dimension of ethics, namely the action ability of the agent, which Jonas took as his starting point.

The remaining elements in the model correspond to a fourth dimension of ethics, which concerns the type of grounds for moral action. The model shows three types of processes with respect to the grounds of action: 0) A non-cybernetic process where the *intentions* form the only grounds of action without 'feedback' (such a system could be the result of natural or cultural evolution as suggested by Darwin). 1) A first order cybernetic process that includes feedback from the agent's *observation* of the consequences of the acts and the impacts on the moral object. Here the agent's acts are grounded on the known consequences (besides the intentions). 2) A second order cybernetic process that includes *self-observation* – the observation of oneself *as* oneself – and thus forms the basis for critical reflection. In particular, second order observation (the observation of observation) can expose the limitations of particular observations and the ensuing limits of knowledge concerning consequences and impacts. It can thereby reveal the moral import of ignorance and uncertainty by way of including unknown consequences as grounds of action.

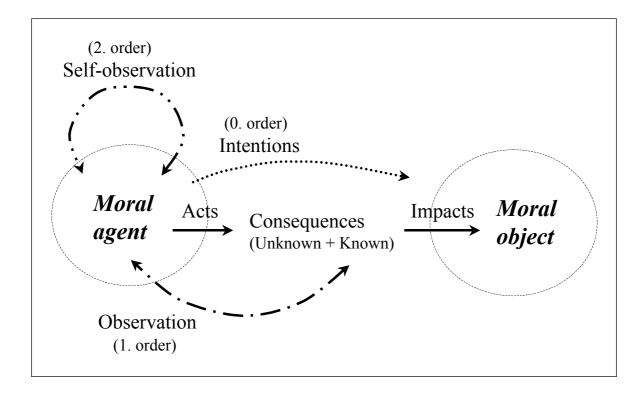


Figure 2: A second order cybernetic model of moral acting, which can be used to determine the possible dimensions of ethical extension (shown in Figure 3).

Based on the model of moral acting we can identify four dimensions of ethical extension, which are shown in Figure 3. The dimension of <u>moral considerability</u>, referring to the moral object, has already been discussed in some detail above.

The dimension of moral responsibility refers to the moral agent. It is therefore constrained to self-aware beings and cannot be extended in the way of considerability (to sentient beings, for instance). Accordingly, moral responsibility has traditionally been understood as individual or *personal* responsibility. But the growing complexity of human society and the dramatic development of collective technological action abilities give reasons for considering human societies, organisations, and corporations as moral agents. This means that we can speak of the collective *social* responsibility of such social systems – and of the demand for developing social systems that can take on a *global* responsibility. In this vein, Sytse Strijbos asks: "What societal agents are responsible for particular developments? How are the different responsibilities of the agents related to each other and how are they coordinated?" and suggests that "what can be of help here is a systems view of technology that clarifies the interweavement between human actions at the various systems levels and the responsibilities that belong to a variety of agents at these levels." ⁴³

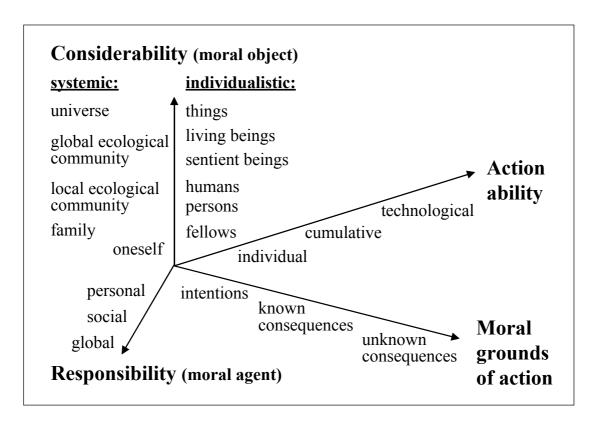


Figure 3: An inclusive framework for ethical extension, which forms the basis for a systemic ethic. The four dimensions correspond to the elements of the model of moral acting in Figure 2.

From this it appears that the extension of responsibility is closely related to the third dimension, action ability, which refers to the types of acts and consequences that the agent can initiate. Action ability may be individual, cumulative – involving more of the same kind of individual actions, such as in an increase of the human population size – or technological - that is, involving new kinds of human action. 44 For instance, the socalled 'tragedy of the commons' is a moral aspect of the cumulative consequences of individually harmless actions. On the other hand, the technological development in agriculture, for instance, in terms of machines, chemical engineering, biotechnology, and genetic engineering introduces entirely new kinds of human action into the world. Technological actions are essentially collective actions of social systems. In the words of Strijbos: "Technology is no longer simply a matter of objects in the hands of individuals; it has become a very complex system in which our everyday lives are embedded. The systemic character of modern technology confronts us with relatively new questions and dimensions of human responsibility." ⁴⁵ As a remark in passing, the ('passive') responsibility for constraining unwanted consequences is but one aspect of increased action abilities – another aspect is the ('active') responsibility to utilise the new action abilities to help and protect those in need. With respect to this, the limits of knowledge and control cautions against active interference where the consequences cannot be foreseen. This forms a link to the last dimension of our framework for ethical extension.

The fourth dimension, moral grounds of action, concerns the types of grounds that the agent employs in moral acts, here characterised as intentions, known consequences and unknown consequences. The primary grounds of action are always intentions, broadly construed as including the representation of the object, the motivations of the agent, and moral principles of acting. The intentions can be altered if the known consequences and impacts, which are exposed by first order observations (see Figure 2), are included as moral grounds of action. Moreover, the unknown consequences and impacts can be included as a third type of moral grounds of action, based on the acknowledgement of the limits of observation and knowledge that are revealed by self-observation. Including unknown consequences diminishes the moral importance of the known consequences, and therefore increases the importance of intentions and principles of moral acting, but it also provides a new basis for critical reflection on the intentions and principles of acting. 46 The inclusion of ignorance and uncertainty as moral grounds of action is exemplified in the use of the principle of precaution in environmental policy. It also plays an important role in understanding the different ways that the concept of sustainability is used. This is particularly evident in the previously mentioned distinction between resource sufficiency and functional integrity. In addition, an increased awareness of the limits of knowledge puts a new perspective on the questions of moral considerability and moral significance. With reference to the model of moral acting in Figure 2, it is clear that the *impacts* on the object of moral consideration are even harder to observe than the consequences of ones acts, because the impacts depend on the interests, wellbeing, or integrity of the moral objects. And these effects cannot be known from outside. With respect to human welfare, for instance, this problem can to some degree be circumvented by way of linguistic communication. In animal welfare we must rely on

non-linguistic communication and empathy to supplement scientific observations – and on the knowledge of our ignorance as a moral ground of action.

The inclusive systemic framework of ethics, which we have described above, provides a tool for structuring and analysing normative concepts and in this way it can contribute to the resolution of moral conflicts. The precautionary principle can be seen as a moral principle that is based on the extension of human action ability and moral grounds of action (with the systemic relations between man and nature as a necessary background). A related principle from environmental policy, the polluter pays principle, can be seen as a moral principle that is based on the extension of human action ability and moral responsibility. Sustainability as functional integrity can be seen as a moral principle that is based on the extension of systemic moral considerability and the acknowledgement of ignorance as a moral ground of action.

We use the term 'systemic ethic' to designate an ethical stance that includes all the systemic aspects of ethical extension in the framework – systemic considerability, social responsibility, technological action ability, and ignorance as a moral ground of action. Some of the implications and pertinent questions of a systemic ethic will be discussed briefly in the last sections, including a discussion of the relation to some more familiar concepts within environmental ethics, such as non-anthropocentrism and the intrinsic value of nature.

VI. DISCUSSION OF NON-ANTHROPOCENTRISM, EQUITY AND MORAL SIGNIFICANCE

In environmental ethics the extension of ethics is often discussed in terms of anthropocentrism and non-anthropocentrism. The traditional anthropocentric view is a position within an individualistic, humanistic ethics, and non-anthropocentric views indicate an extension of moral considerability beyond the limits of anthropocentrism. However, it is often not made clear whether the limit of considerability is drawn at persons (in a symmetrical ethics) or at humans, although these two positions are philosophically very different. 'Non-anthropocentric' is the general term for an extension beyond persons or humans, and there are a number of more specific concepts such as pathocentric (from 'pathos': suffering, experience, emotion), biocentric, and ecocentric. 'Pathocentric' and 'biocentric' correspond to including, respectively, sentient beings and living beings into moral considerability. 'Ecocentric', on the other hand, is not non-anthropocentric in the sense of extending individualistic considerability, but involves an entirely different path of extension – the path of systemic considerability. The non-anthropocentric concepts are apt and widespread in use, but the distinction between individualistic and systemic considerability as two different ways of extending moral considerability, allows for more precise indications of ethical positions and thus for more clarity in the theoretical discussions

Wherever the limit of moral considerability is drawn, the very extension of considerability beyond persons calls for a further distinction such as Goodpaster's distinction between *moral considerability* and *moral significance*. Even though all living beings, for instance, are considered morally considerable, living, sentient and self-aware beings need not be considered of equal moral significance – they should not necessarily be treated alike in moral judgements.

Goodpaster's distinction allows us to distinguish between the extension of moral considerability and the ensuing questions of equity within the sphere of moral considerability. The common non-anthropocentric terms tend to conflate the issues of extension and equity, because the suffix 'centric' implies not only an extension of considerability but also a shift of moral significance, assigning equal moral significance to, for instance, a pig and a person. Such a shift is rightfully criticised for justifying acts that are inhumane in the sense of being unjust to persons. Equity does not necessarily imply equal treatment. Speaking in terms of an extension of moral considerability does not imply such a shift, because we can discuss the question of moral significance separately — but the extension of considerability obviously determines the range within which we can discuss moral significance. In other words, moral considerability deals with the universality aspect of equity (what kinds of moral objects enter into our considerations of equity?) while moral significance deals with the justice aspect (what does equity between these objects mean?).

The question of moral significance thus involves the question of what equity and just treatment means. Justice in the Aristotelian sense means proportional treatment, where like instances are treated alike.⁵⁰ The crucial question is which similarities and differences are considered relevant. According to Peter Singer, species membership is not a relevant reason for treating non-humans different from humans.⁵¹ His concept of 'animal liberation' points out the analogy between the unjust, unequal consideration of slaves, of women, and of animals on farms and labs. On the other hand, an 'egalitarian pathocentric view' (extending moral considerability to sentient beings and taking all sentient beings to be of equal significance), where only the sense of pain and pleasure is considered relevant, must be judged unjust if self-awareness is included as being of moral relevance, because equity then means treating persons differently from sentient beings without self-awareness.⁵²

In order to distinguish the just from the unjust differences in moral significance, we need a criterion for the relevance of differences. Equity, in the present framework, is associated with either individualistic or systemic significance. Individualistic significance involves empirical questions concerning general differences between kinds of beings (sometimes discussed in the form of levels of being⁵³). The relevance of a difference depends on whether it is related to the well-being of the individual and to the sense-ability and type of autonomy involved. In the systemic perspective, it is difficult to speak of the well-being of ecosystems, for instance, since there seems to be limited empirical support for an organismic view of ecosystems.⁵⁴ Instead, systemic significance involves empirical questions concerning the relative functional importance of

different processes of social and ecological dynamics (often discussed in terms of sustainability). The relevance of a difference in systemic significance depends on whether it is related to the functional integrity of the system – that is, the structure of connections and processes that sustains the system. ⁵⁵

The functional integrity of the system is established by the web of relations between the physical, genetic, physiological, and social 'memory-structures' in the system, which secure the reproduction of system elements and processes. No single individual being or lineage is 'sustainable' in itself. Some kinds of living beings and physical processes, which we consider of little or no individual moral significance, are of great systemic moral significance with reference to the functional integrity of the ecological community. But any single individual, or group of individuals, has very limited systemic significance – unless they are the bearers of a functional role in the system and therefore play a key role in sustaining the system or a subsystem, in which case a systemic significance is added to their individual significance. In this way the significance of, for instance, the remaining individuals of a threatened species can increase.

VII. DISCUSSION OF THE INTRINSIC VALUE OF NATURE

It is a widely held idea that a 'proper' environmental ethics must be based on there being intrinsic values in nature (as opposed to nature being only of instrumental value to man), because this would be a necessary and sufficient ground for human obligations to non-human nature. A common line of argument is to establish this necessary connection by way of defending the existence of objective intrinsic values in nature. This argument, however, presupposes that intrinsic values have moral import — and this question is impeded by the many senses of 'intrinsic value'. In other words, a key problem with the language of values and intrinsic values is that it tends to confuse questions of value and ethics. In this paper we have treated the moral considerability of nature without reference to intrinsic value. From this basis the question of the moral import of intrinsic value in its different senses can be addressed and the implications for value theory drawn out.

The systemic ethic takes a relational view of values in line with the systemic or relational view of nature. According to H. Richard Niebuhr a relational value theory "is objective in the sense that value relations are understood to be independent of the feelings of an observer but not in the sense that value is itself an objective kind of reality". Niebuhr shows how relational value-thinking can be found implicit in both objectivist and subjectivist positions, and how the dichotomy thus masks a common relationary ground. Relational value theory is relativistic (in the sense known from physics), or contextual, and therefore incompatible with the idea of an ideal observer, but not with the idea of objective methods of research with due attendance to the viewpoint of the observer and to the interplay of facts and values. On this basis, a certain structure can be impressed on the concepts of intrinsic value as indicated in the brief discussion below (see also the overview in Table 1).

John O'Neill distinguishes between three different basic senses of intrinsic value: Intrinsic value, meaning non-instrumental value, intrinsic value, meaning the value of an object in virtue of its non-relational properties (as employed by G.E. Moore), and intrinsic value₃ meaning 'objective value', which an object possesses independently of the valuations of valuers. 60 Tom Regan gives a different threefold distinction where intrinsic value is understood as either a mental-state, a state-of-affairs, or an end-in-itself.⁶¹ O'Neill's intrinsic value, conflates Regan's end-in-itself and mental-state – there are two kinds of opposites to instrumental value: intrinsic value (Reagan's end-in-itself) and immediate value. Immediate value includes Regan's mental-state (experiential value) as well as for example physiological value, and it is in opposition to mediate or instrumental values, such as contributive (part), productive (tool) and substitutive (exchange, market) values. Regan's state-of-affairs corresponds to O'Neill's intrinsic value, but value in this non-relational sense has no place in a relational view of values. O'Neill's intrinsic value₃, objective values, must either pertain to a value relation, in which case the term intrinsic value seems inappropriate (because the 'objectivity' of a value relation does not in itself imply moral import), or to a non-relational value, in which case it must be discarded here together with intrinsic value₂.

Table 1: Different senses of 'intrinsic value' compared with a relational theory of values.

Common terms	O'Neill	Reagan	Relational value theory		
Non-	Intrinsic	End-in-	Intrinsic value:	Individualistic and	2. order
instrumental	value ₁	itself		systemic moral con-	or moral
value				siderability	values
		Mental-	Immediate value:	E.g. experiential and	1. order
		state		physiological value	values
Non-relational	Intrinsic	State-of-	(non- existent)		
value (Moore)	value ₂	affairs			
Objective value	Intrinsic		(ambiguous)		
	value ₃				
Instrumental			Mediate value:	Contributive,	
value				productive and	
				substitutive value	

As we stated above, speaking only in terms of values and intrinsic values tends to confuse questions of value and ethics. Of the senses treated above, only the intrinsic value of an individual being as an end-in-itself has direct moral import, given that it corresponds to individualistic moral considerability. When using the language of values in ethics, we need to distinguish between moral values, or second order values, such as the intrinsic value of a person, and first order values, such as the experiential and instrumental values of that person. It is not values as such that are considerable in ethics, but the other individual or the larger community. ⁶² The importance of this distinction only becomes evident when the limits of knowledge are taken into account. When there is

limited knowledge of the values of some moral object, the (second order) moral value implies a cautious and conservative attitude towards encroaching on the object.

Analogously to the individualistic intrinsic values of individual beings, we can speak of a systemic intrinsic value, corresponding to systemic moral considerability, which designates the moral value of the larger system that the moral agent is a part of. Moreover, this systemic aspect transfers to the consideration for other individuals, whether they themselves are moral agents or not, so that every individualistic intrinsic value is connected with a systemic intrinsic value.⁶³ The moral value of the other entails the moral value of the larger system that the other is a part of. And the systemic values connected to the perspectives of different individuals in the same ecological community are – to some degree – different aspects of a common systemic intrinsic value. We may therefore speak more loosely of the intrinsic value (meaning: moral considerability) of nature or natural processes, when there is little need or possibility for distinguishing between the individual and systemic aspects of intrinsic value – as long as we do not conflate this with other senses of 'intrinsic value of nature', which are based on a Naturalist View of Nature.

VIII. PRELIMINARY REMARKS ON THE FURTHER IMPLICATIONS OF A SYSTEMIC ETHIC

As stated in the introduction, the purpose of the present paper is to investigate the ethical basis for sustainability and precaution. An outline of a systemic ethic has been presented and discussed, and although space does not allow us to draw all the relevant implications of this framework here, some preliminary remarks can be given.

The systemic ethic enables us to understand and analyse sustainability and precaution as moral concepts on equal terms with the traditional moral concepts of humanist, individualistic ethics. It provides a tool for structuring and criticising the different meanings of new normative concepts of environmental concern. In particular, the systemic ethic points to reflexive precaution and functional integrity as the proper, more general understandings of precaution and sustainability. And it provides those new norms that are in agreement with the systemic ethic, with a stronger moral basis that can give them more credence and influence.

Furthermore, the systemic ethic provides options for analysing and criticising traditional ethical concepts from a new perspective. For example, by way of including the unknown consequences of human action as important moral grounds of action, the systemic ethic directs attention to our means of knowing consequences and impacts, as well as to the influence of context on knowledge. In this way, the systemic ethic provides a basis for criticising rationalistic moral theories. And bringing together systemic (or communitarian) ethics and individualistic ethics in a common framework presents a different basis for discussion than that of opposing theories.

The systemic ethic gives reason to take the reflexive understanding of precaution as a more general sense of precaution. The development of new technologies with unfore-seeable consequences gives rise to a critique of the adequacy of rational decision-making based on scientific knowledge, such as in the case of pesticides, antibiotic growth promoters, and genetically modified organisms in agriculture. Reflexive precaution includes rational precaution, such as risk assessments, as a means of dealing with known consequences, while emphasising more general moral principles of acting, such as those entailed in the precautionary principle, in front of unknown consequences.

Systemic moral considerability presents the relationship between man and nature as something of moral value and not merely an instrumental relation. Therefore, the systemic ethic gives reason to see functional integrity as a more general understanding of sustainability, which employs the thinking of resource sufficiency as a way of dealing with known consequences where a distinctive view of nature is appropriate, while emphasising the active responsibility for sustaining the ecological community.

In view of the systemic ethic, the concern for our future self and future generations can be seen as an aspect of systemic moral considerability, which is quite different from the individualistic approach to sustainability that is hampered with theoretical problems. ⁶⁵ In the systemic perspective, the question of 'our future selves' involves an extension of the self as an object – an extension of 'me'. Our concern for the future of our children can be seen as a concern for a slightly (but only slightly) wider conception of 'me'. This perspective provides reason for a further concern for future generations – or future socio-ecological systems – on grounds of it being in this sense our own future. The grandparents of our grandchildren can be anyone among our contemporaries, even though we do not know whom (and this is a point for moral reflection in itself), and the ecological community of our grandchildren will be a descendant of the societies and ecosystems of today.

NOTES

- * Danish Research Centre for Organic Farming (DARCOF), P.O. Box 50, 8830 Tjele, Denmark; further information at http://alroe.dk/hugo. H.F. Alrøe is a Postdoctoral Scientist at DARCOF who works with philosophy of research, research methodology and value inquiry. His research interests include systems research, transdisciplinary research, the role of values in science, sustainable agriculture, organic farming, and agricultural and environmental ethics. E.S. Kristensen is Chief Scientist and manager of DARCOF. In Denmark, DARCOF has the responsibility for initiating and coordinating research that contributes to the development of organic farming and the general promotion of sustainable agriculture. The centre also conduces to the synthesis and communication of knowledge, education of researchers, development of research methodology, and value inquiry. The authors wish to thank Niels Halberg, Helena Röcklinsberg and the anonymous reviewers for helpful comments.
- 1. K. E. Goodpaster and K. M. Sayre, "Introduction," in *Ethics and Problems of the 21st Century*, eds. K. E. Goodpaster and K. M. Sayre (Notre Dame, Indiana: University of Notre Dame Press, 1979), pp. vii, xi.
- 2. See, e.g., C. S. Holling, "The resilience of terrestial ecosystems: local surprise and global change," in *Sustainable Development of the Biosphere*. (Cambridge: Cambridge University Press, 1986), pp. 293-320. P. Timmerman, "Mythology and surprise in the sustainable development of the biosphere," in *Sustainable Development of the Biosphere*, pp. 435-454. M. Schwarz and M. Thompson, *Divided we stand. Redefining politics, technology and social choice* (New York: Harvester Wheatsheaf, 1990), p. 5.
- 3. E.g., Robert Goodland, "The Concept of Environmental Sustainability," *Annu.Rev.Ecol.Syst.* 26 (1995): 1-24, and the recent paper in this journal by Ayres, Robert U., van den Berg, Jeroen C.J.M. and Gowdy, John M., "Strong versus Weak Sustainability: Economics, Natural Sciences, and 'Consilience'," *Environmental Ethics* 23(2) (2001): 155-168. See also Wilfred Beckerman, "'Sustainable Development': Is It a Useful Concept?," *Environmental Values* 3 (1994): 191-209, the responses from Herman E. Daly and Michael Jacobs in *Environmental Values* 4(1) (1995): 49-55 and 57-68, and the reply from Beckermann in *Environmental Values* 4(2) (1995):169-179.
- 4. Gordon K. Douglass, "The meanings of agricultural sustainability," in *Agricultural Sustainability in a Changing World Order* (Boulder, Colorado: Westview Press, 1984), 3-29. See also E. S. Kristensen and N. Halberg, "A systems approach for assessing sustainability in livestock farms," in *Livestock Farming Systems. More Than Food Production. Proc. of the 4th Int. Symp. on Livestock Farming Systems. EAAP Publication No. 89* (Waageningen, The Netherlands: Waageningen Pers, 1997), 5-15.
- 5. J. Baird Callicott, Larry B. Crowder, and Karen Mumford, "Current Normative Concepts in Conservation," *Conservation Biology* 13(1) (1999): 22-35.

- 6. Paul B. Thompson, "Sustainability As a Norm," *Society for Philosophy & Technology* 2(2) (1996): 75-93. Paul. B. Thompson, "The varieties of sustainability in livestock farming," in *Livestock Farming Systems*, 16-30. The concept of functional integrity can be used in relation to any system with an internal, self-sustained purpose or an external, imposed purpose.
- 7. See, e.g., Silvio O. Funtowitcz and Jerome R. Ravetz, "Science for the Post-Normal Age," *Futures* 25(7) (1993): 739-755. Michael Smithson, "Ignorance and Science: Dilemmas, Perspectives and Prospects," *Knowledge: Creation, Diffusion, Utilization* 15 (1993): 133-156.
- 8. See, e.g., T. O'Riordan and J. Cameron, eds., *Interpreting the precautionary principle* (London: Earthscan, 1994). Carolyn Raffensperger and Joel Tickner, eds., *Protecting public health and the environment. Implementing the precautionary principle* (Washington, D.C.: Island Press, 2000).
- 9. This interpretation of the precautionary principle is based on S. Boehmer-Christiansen, "The precautionary principle in Germany enabling government," in *Interpreting the precautionary principle*, p. 37. See also the discussion of different versions of the principle in Bart Gremmen and Henk van den Belt, "The Precautionary Principle and Pesticides," *Journal of Agricultural and Environmental Ethics* 12 (2000): 197-205.
- 10. See also the discussion of sustainability as a system-describing and a goal-prescribing (normative) concept in Paul. B. Thompson, "The Varieties of Sustainability," *Agriculture and Human Values* 9 (1992): 11-19, revised version in Thompson, *The spirit of the soil. Agriculture and environmental ethics* (New York: Routledge, 1995), chapter 7.
- 11. Kenneth E. Goodpaster, "From egoism to environmentalism," in *Ethics and Problems of the 21st Century*, 21-35. J. Burkhardt, "The Morality Behind Sustainability," *Journal of Agricultural Ethics* 2 (1989): 113-128.
- 12. Immanuel Kant, *Fundamental principles of the metaphysics of morals* (1785), second section. 'Maxim' here means the principle on which the subject acts, in distinction from the principle on which it ought to act, that is, an imperative or a practical law. The imperative is 'categorical' in the sense that it is a logical condition for mutual obligations between rational beings, and this means that the rationale behind Kant's categorical imperative presumes a symmetrical ethics. Without symmetry, the imperative would not be categorical.
- 13. Lawrence E. Johnson, *A morally deep world. An essay on moral significance and environmental ethics* (Cambridge: Cambridge University Press, 1991), p. 16.
- 14. Roderick Frazier Nash, *The rights of nature*. *A history of environmental ethics* (Madison, Wisconsin: The University of Wisconsin Press, 1989), p. 137.
- 15. E.g., R. Routley and V. Routley, "Against the inevitability of human chauvinism," and P. Singer, "Not for humans only: The place of nonhumans in environmental issues," in *Ethics and Problems of the 21st Century*.

- 16. E.g., Kenneth E. Goodpaster, "On Being Morally Considerable," *The Journal of Philosophy* 75 (1978): 308-325. Paul Taylor, *Respect for nature. A theory of environmental ethics* (Princeton: Princeton University Press, 1986).
- 17. W. K. Frankena, "Ethics and the environment," in *Ethics and Problems of the 21st Century*.
- 18. Goodpaster, "From egoism to environmentalism," pp. 22ff.
- 19. Ibid., pp. 29-30.
- 20. Aldo Leopold, *A Sand County almanac* (Oxford: Oxford University Press, 1949), pp. 203-04.
- 21. E.g., Frederick Ferré, "Persons in Nature: Towards an Applicable and Unified Environmental Ethics," *Ethics and the Environment* 1(1) (1996): 15-25. J. Baird Callicott, "Elements of an Environmental Ethic. Moral Considerability and the Biotic Community," *Environmental Ethics* 1 (1979): 71-81.
- 22. Nash, The rights of nature, p. 68.
- 23. Charles Darwin, *The Descent of Man, and Selection in Relation to Sex* (1871), chapter 4.
- 24. J. Baird Callicott, "Hume's Is/Ought Dichotomy and the Relation of Ecology to Leopold's Land Ethic," *Environmental Ethics* 4 (1982): 163-174, p.174.
- 25. Hans Jonas, *The imperative of responsibility. In search of an ethics for the technological age* (Chicago: University of Chicago Press, 1984), pp. 5-6.
- 26. Ibid., pp. 6, 8.
- 27. Ibid., pp. 22-23. As we wrote in the first section, the awareness of a choice of action implies moral responsibility. But note that a genuine choice of action involves that the ability to act responsibly is within reach.
- 28. An anonymous reviewer pointed out that the duty to not do something dangerous to human health or well-being is a responsibility that has been recognised in tort law for hundreds of years. Be that as it may, we need to distinguish between different conceptions of 'doing something dangerous' here. In economic theory there is a distinction between risk and uncertainty. Risk designates outcomes that can be described with a probability distribution, while uncertainty designates outcomes where there is no experience to base the distribution on, or where the outcome space cannot be properly delimited. In these terms, we are concerned here with uncertainties rather than risks, while the judicial responsibility in tort law concerns risks rather than uncertainties. The reviewer further suggests that the issue at stake is a reversal of the burden of proof rather than precautionary acting. There is a vivid discussion in legal matters on where and when the burden of proof should be reversed. This is also the case in tort law, where the general rule is that the party who asserts a fact has the burden of proving it. We think that reversing the burden of proof is an aspect of precautionary acting. However, speaking in terms of 'proof' entails the same emphasis

on the known at the expense of the unknown that we reject above. In the context of precautionary acting, the reversed burden of proof can therefore better be discussed in terms of the distinction between taking a risk and being exposed to danger. See, e.g., Niklas Luhmann, *Ecological Communication* (Cambridge, UK: Polity Press, 1989), p. 71 and note 21, p. 166. That is, in terms of who bears the burden of uncertainty in relation to who stands to gain from the risks that they deliberately take. See also Jonas, *The imperative of responsibility*, p. 34ff.

- 29. J. Baird Callicott, "Animal Liberation. A Triangular Affair," *Environmental Ethics* 2 (1980): 311-338.
- 30. Leopold, A Sand County almanac, pp. 224-25.
- 31. Goodpaster, "From egoism to environmentalism".
- 32. George H. Mead, "The Social Self," *Journal of Philosophy, Psychology and Scientific Methods* 10 (1913): 374-380, pp. 374-75. See also Kant's Critique of Pure Reason, Transcendental Dialectics, book II, chapter 1, where Kant distinguishes the I as a subject from the I as an object.
- 33. Mead, "The Social Self," p. 377.
- 34. See also Arne Næss, Økologi, samfunn og livsstil. Utkast til en økosofi (Oslo, Norge: Universitetsforlaget, 1974), pp. 175ff on the process of identification and the extension of the self. (English edition, Ecology, Community and Lifestyle: Outline of an Ecosophy, Cambridge University Press, 1990)
- 35. Jonas, *The imperative of responsibility*, p. 85. See also David Hume's Inquiry concerning the principles of morals, Appendix I, on moral sense.
- 36. Cited from J. Baird Callicott, "The Metaphysical Implications of Ecology," *Environmental Ethics* 8 (1985): 301-316.
- 37. Holmes Rolston III, "Lake Solitude: The Individual in Wildness," *Main Currents in Modern Thought* 31 (1975): 122.
- 38. Callicott, "The Metaphysical Implications of Ecology," pp. 314, 316.
- 39. Jonas, *The imperative of responsibility*.
- 40. Second order cybernetics is concerned with accounting for the observer in the observation of cybernetic systems, see H. von Foerster, *Observing systems* (California, USA: Intersystems Publications, 1984), p. 258. An account of the development of systems theory from the distinction between 'wholes and parts' to 'system and environment' and to a theory of self-referential systems is given by Niklas Luhmann in *Social systems*. (Stanford, California: Stanford University Press,1995), p. 5-11. See also Luhmann, *Ecological Communication*, p. 22-27 on the observation of observation. A general model of a cognitive system that corresponds to the model of moral acting in Figure 2 is presented in H. F. Alrøe, "Science As Systems Learning. Some Reflections on the Cognitive and Communicational Aspects of Science," *Cybernetics and Human Knowing* 7(4) (2000): 57-78. According to this model, observation is

also an act that is directed and intentional in terms of being rooted in the sense apparatus and the instruments of observation. See e.g. von Foerster, *Observing systems*, pp. 288-90, G. Bateson, *Steps to an ecology of mind* (New York: Ballentine Books, 1972), p. 292. Second order observation can provide knowledge of the nature and limits of the observation observed, but no observation can reveal the nature of itself. Observation always has a 'blind spot', located in the distinctions necessary for observing, and observing this blind spot involves a new observation with, necessarily, a new blind spot. See Niklas Luhmann, "Erkendelse som konstruktion," in *Fra Læringens Horisont* (Århus: Forlaget Klim, 1998), 163-184 (German edition: *Erkenntnis als Konstruktion*. (Benteli Verlag, Bern, 1988).

- 41. Hans Lenk, "Distributability Problems and Challenges to the Future Resolutions of Responsibility Conflicts," *Society for Philosophy & Technology* 3(4) (1998): 69-93. Sytse Strijbos, "Ethics and the Systemic Character of Modern Technology," *Society for Philosophy & Technology* 3(4) (1998): 19-34.
- 42. Holmes Rolston, *Environmental Ethics* (Philadelphia, U.S.A.: Temple University Press, 1988), pp. 247ff. Hans Lenk, "Progress, Values and Responsibility," *Society for Philosophy & Technology* 2(3-4) (1997): 102-119, p. 107.
- 43. Strijbos, "Ethics and the Systemic Character," p. 28, in a discussion of Jonas' ethics of technology.
- 44. Jonas, *The imperative of responsibility*. Lenk, "Progress, Values and Responsibility," p. 108: "In proportion to its powers, technologically multiplied to an extreme, humankind's responsibilities have grown if not exploded."
- 45. Strijbos, "Ethics and the Systemic Character," p. 19.
- 46. See also Peter A. French, *Collective and corporate responsibility* (New York: Columbia University Press, 1984), p. 132ff, where he proposes an extended principle of accountability, according to which somebody is morally responsible not only for intentional acts, but also for some of the unintended effects of actions, which these persons *should* have known.
- 47. Goodpaster, "On Being Morally Considerable," pp. 311-12.
- 48. Or, in the case of ecocentrism, stating the primacy of the ecological systems or of 'Nature'. Note the connection between such views and the Naturalist View of Nature in section I.
- 49. E.g., Ferré, "Persons in Nature," and K. Shrader-Frechette, "Individualism, Holism and Environmental Ethics," *Ethics and the Environment* 1(1) (1996): 55-69, p. 63.
- 50. Aristotle, Nichomachean Ethics (Translated by W.D. Ross, 350), book V, section 3.
- 51. Singer, "Not for humans only," pp. 194-95.
- 52. See Donald VanDeVeer, "Interspecific Justice and Intrinsic Value," *The Electronic Journal of Analytical Philosophy* 3 (1995): 7, for a more detailed discussion of just differential treatment in relation to intrinsic value.

- 53. See, e.g., Rolston, Environmental Ethics, pp. 223-224.
- 54. See Johnson, *A morally deep world*, for an elaboration of such a view, and critiques by e.g. Robin Attfield, *Value, obligation and meta-ethics* (Amsterdam: Edition Rodopi, 1995), pp. 24ff, and Shrader-Frechette, "Individualism, Holism," pp. 59ff.
- 55. Note, however, that the systemic extension involves going beyond the 'instrumental' view of the system. This means that the limits of knowledge need to be taken into consideration when evaluating the moral significance of something on the basis of available knowledge. The instrumental view of the system can, by the way, be found in both anthropocentric and non-anthropocentric individualistic ethics. See, e.g., Bryan G. Norton, "Epistemology and Environmental Values," *The Monist* 75(2) (1992): 208-226, and Taylor, *Respect for nature*. See also Norton's convergence hypothesis (p. 209), which states the equivalence of non-anthropocentric and anthropocentric positions.
- 56. E.g., Jim Cheney, "Intrinsic Value in Environmental Ethics: Beyond Subjectivism and Objectivism," *The Monist* 75(2) (1992): 227-236, and John O'Neill, "The Varieties of Intrinsic Value," *The Monist* 75(2) (1992): 119-137. Note the connection between this formulation of the intrinsic value of nature and the Naturalist View of Nature in Figure 1.
- 57. E.g., Attfield, Value, obligation, pp. 29ff.
- 58. H. Richard Niebuhr, "The center of value," in *Radical Monotheism and Western Culture* (New York: Harper & Row, 1960), 100-113, p. 102.
- 59. See H. F. Alrøe and E. S. Kristensen, "Towards a Systemic Research Methodology in Agriculture: Rethinking the Role of Values in Science," forthcoming in *Agriculture and Human Values*, for a detailed discussion of objectivity, contextuality, and values in science.
- 60. O'Neill, "The Varieties," pp. 119-20.
- 61. Tom Regan, "Does Environmental Ethics Rest on a Mistake?," *The Monist* 75(2) (1992): 161-182. Regan uses the term end-in-itself in a Kantian sense. Kant restricted intrinsic value to persons, he contrasted the inner worth (innern Werth) or dignity (Würde) of human individuals with relative values such as market value and sentimental value, see *Fundamental principles of the metaphysics of morals* (1785), second section. But Regan describes (pp. 171ff) how intrinsic value in Kant's sense can be extended to 'subjects of a life' that have an experiental welfare or, with reference to Paul Taylor, to 'individual living beings' that are 'teleological centers of life'. Regan uses the term 'inherent value', while Paul Taylor uses 'inherent worth'.
- 62. Contrary to Attfield, *Value*, *obligation*, pp. 36, 38. Designating the values that have moral import as second order values is a way of emphasising this point. Ethics is not concerned directly with (1. order) values, but with the (2. order) valuing of 'the other' as an object with (1. order) values. The distinction between first and second order values is thus analogous to the distinction between first and second order observations that is referred to above.

- 63. See also Judith N. Scoville, "Value Theory and Ecology in Environmental Ethics: A Comparison of Rolston and Niebuhr," *Environmental Ethics* 17(2) (1995): 115-133, pp. 122ff., for a discussion, based on a relationary view of values, of Holmes Rolston's use of systemic value.
- 64. And these conceptual differences have very practical consequences, see e.g. Thompson, "Sustainability as a norm," p. 92. The view of sustainability and precaution supported by the systemic ethic seems to be largely in agreement with the way these concepts are used in the organic movement.
- 65. See Derek Parfit, *Reasons and persons* (Oxford: Clarendon Press, 1984), who gives detailed arguments for a revision of moral theory, and of beliefs about rationality, in light of the problems of identity and self-interest with regard to persons.