

1 ***Penicillium verrucosum* occurrence and Ochratoxin A contents in organically cultivated grain**  
2 **with special reference to ancient wheat types and drying practice**

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1 **Abstract**

2 This study addresses the relationship between the ochratoxigenic strains of *Penicillium verrucosum*  
3 and ochratoxin A (OTA) contents in organically cultivated grain. It included 37 combined, non-  
4 dried grain samples from farmers with no drying facilities as well as 19 non-dried and 22 dried  
5 samples from six farms with on-farm drying facilities (Case studies 1-6). The study focused on the  
6 ancient wheat type spelt but also included samples of wheat, rye, barley, oats, triticale, emmer, and  
7 einkorn. All 78 samples were analysed for moisture content (MC) and occurrence of *P. verrucosum*.  
8 The latter was assessed by plating non-disinfected kernels on DYSG agar and counting those  
9 contaminated by the fungus. Fiftyfive samples were analysed for OTA. Most of the combine  
10 harvested samples (82%) were contaminated with *P. verrucosum* prior to drying. This was ascribed  
11 to difficult harvest conditions and many samples of spelt, which was significantly more  
12 contaminated by *P. verrucosum* than oats, wheat and barley. Though not statistically significant, the  
13 results also indicated that spelt was more contaminated than rye, which is usually regarded the most  
14 sensitive small grain cereal. No correlation was found between number of kernels contaminated by  
15 *P. verrucosum* and OTA content. Despite many non-dried samples being contaminated by *P.*  
16 *verrucosum*, only two exceeded the EU maximum limit for grain (5 ng OTA g<sup>-1</sup>), both being spring  
17 spelt with 18 and 92 ng g<sup>-1</sup>, respectively. The problems were most likely correlated to a late harvest  
18 and high MC of the grain. The case studies showed exceedings of the maximum limit in a batch of  
19 dried oats and spring wheat, respectively, probably to be explained by insufficient drying of late  
20 harvested grain with high MC. Furthermore, our results clearly indicate that OTA is not produced in  
21 significant amounts in samples with MCs below 17%. All dried samples with MCs above 18%  
22 exceeded the 5 ng OTA g<sup>-1</sup> limit in grain. However, no correlation between MC and the amount of  
23 OTA produced was found.

24

1 **Keywords:** moisture content, natural air drying, Ochratoxin A, on-farm, rye, spelt

2

### 3 **Introduction**

4 The mycotoxin Ochratoxin A (OTA) has a number of toxicological effects and presents a well-  
5 known hazard to human health [1-3]. Denmark introduced maximum limits for OTA of 5 ng g<sup>-1</sup>  
6 grain and 3 ng g<sup>-1</sup> flour in 1995, and in 2001 these limits were introduced in the EU (Commission  
7 Regulation (EC) No. 466/2001 of 8 march 2001). Recently, the EU has set a maximum limit of 0.5  
8 ng OTA g<sup>-1</sup> baby food and processed cereal based food for infants and young children (Commission  
9 Regulation (EC) No. 683/2004 of 13 April 2004). OTA is resistant to high temperatures [4-5]. It is  
10 therefore essential to grain processors that the grain is not contaminated with OTA upon receipt.  
11 Danish producers and processors of organically grown cereals have been especially concerned  
12 about OTA contamination because a number of studies indicate OTA problems to be more  
13 prevalent in organic than conventional farming [6-8]. Quality criteria are crucial in organic  
14 production and one such criterion is the avoidance of toxic residues in cereal commodities. Partly  
15 therefore, pesticides are banned in organic farming and increased occurrence of other toxic  
16 substances like mycotoxins would jeopardize the credibility of organic farming in this respect.  
17 In a review on mycotoxigenic fungi, Miller [9] points out that very little is known about the pre-  
18 harvest ecology of the OTA producing fungus, *Penicillium verrucosum* Dierkx, but that some  
19 kernels are commonly infested by the fungus at harvest. This was also found by Elmholt [8].  
20 However, most problems seem related to insufficient drying facilities at small farms, which at least  
21 some years ago were more prevalent in organic farming [7-8]. A number of studies and surveys  
22 have shown differences in OTA susceptibility among the small grain cereals [6-7,10]. The Danish  
23 mill and bakery, Aurion ApS, uses only organically and biodynamically [11] grown grain, and they  
24 were the first to introduce ancient wheat types into commercial Danish bread production and flour

1 sale for home baking. These types include einkorn and emmer, which were the first wheats to be  
2 domesticated, and spelt which was developed from emmer. These ancient wheat types have not  
3 been exposed to modern breeding techniques, making them a more "natural" product and therefore  
4 attractive in the eyes of many organic growers. In addition, their chemical composition differs from  
5 modern wheat in ways that make them interesting for both bakers and consumers [12]. Nothing is  
6 known, however, of their susceptibility to *P. verrucosum* colonisation and OTA production.

7           This study was established in cooperation with Aurion ApS. The mill receives its  
8 grain from a range of farmers. Some of the farmers deliver their combine harvested grain directly  
9 by carrier. This grain is dried at the mill in a batch dryer and stored and processed according to  
10 demand. Other suppliers store their grain on-farm and deliver to Aurion upon request. Grain  
11 samples directly delivered to the mill as well as samples from farms with on-farm drying were  
12 analysed. The samples were tested for occurrence of *P. verrucosum* and moisture content, and  
13 selected samples were analysed for OTA. The relationship between occurrence of *Penicillium*  
14 *verrucosum*, moisture content and OTA was investigated with special attention to the ancient wheat  
15 type spelt and on-farm drying systems based on ambient air.

16

## 17 **Materials and methods**

### 18 *Non-dried grain samples directly delivered to the mill*

19 From Aug. 15<sup>th</sup> to Sept. 13<sup>th</sup> 2001, 37 combined (C), non-dried grain samples of approximately 300  
20 g were forwarded by Aurion ApS for microbiological analysis. The samples originated from 22  
21 farmers with no appropriate drying and storage facilities. The grain had been delivered on the day  
22 of harvest or the following day to be batch dried at Aurion. The miller sampled representatively  
23 from the delivered non-dried batch of grain. The following small grain species were represented:  
24 Spelt (19 samples, *Triticum aestivum* ssp. *spelta* (L.) Thell.); emmer wheat (two samples, *T.*

1 *turgidum* ssp. *dicoccon* (Schrank) Thell.); cultivated einkorn (one sample, *T. monococcum* ssp.  
2 *monococcum*); wheat (spring wheat one sample, winter wheat two samples, *T. aestivum* ssp.  
3 *aestivum* L.); triticale (one sample, x *Triticosecale* Wittm.); rye (six samples, *Secale cereale* L.);  
4 oats (two samples, *Avena sativa* L.); barley (three samples, *Hordeum vulgare* L. ssp. *vulgare*).

#### 6 *Non-dried and dried samples from the Case-study farms*

7 To improve possibilities of relating *P. verrucosum* and OTA findings to management practice,  
8 samples of grain taken prior to drying (non-dried, combined grain, C) and after drying (dried grain,  
9 D) were obtained from six farms. These were farms with on-farm drying and storage facilities, and  
10 most of the farmers more or less regularly supply grain to Aurion ApS. Non-dried grain delivered  
11 by the farmers: The six farmers forwarded 19 combined grain samples of approximately 300 g from  
12 Aug. 20<sup>th</sup> to Sept. 24<sup>th</sup> 2001. They were asked to sample a handful of grain at ten different points in  
13 their heap, which typically consisted of 20-25 tonnes of grain, and forward it on the day of harvest  
14 or the following day. The grain was filled into a small cardboard box, which was sealed with tape.  
15 The box was sent by post, which in Denmark normally means delivery within one day. It was  
16 assumed that the combine harvester had mixed the grain well and that OTA and fungal conidia  
17 would be fairly homogeneously distributed. The following number of species and samples were  
18 represented: Spelt (three samples), spring spelt (four samples), spring wheat (two samples), winter  
19 wheat (four samples), triticale (one sample), rye (one sample), oats (two samples) and barley (two  
20 samples). Dried grain sampled at the Case study farms: All six farms had ambient air drying  
21 systems, either unheated or with low heat (Table 1). Sampling of dried grain was performed on  
22 Nov. 15<sup>th</sup> and 28<sup>th</sup> with an open-throat hand probe with eight slots (2m, diameter 38mm, Rationel  
23 Kornservice A/S, Esbjerg, Denmark). With the slots closed, the probe was inserted at a slight angle  
24 to a depth of approximately 1.5 m. Then, with the slots facing upwards, the probe was opened and

1 moved slightly up and down to fill the compartment. Finally the probe was closed, withdrawn from  
2 the grain lot and the sample emptied into a plastic container. Where nothing else is mentioned,  
3 approximately 15 samples were combined to a composite sample.

4 Case 1: Combine harvested samples of oats, spelt and winter wheat were received. On Nov. 15<sup>th</sup>  
5 dried samples were collected. The dried spelt had been sold but a sample withheld for analysis. The  
6 wheat and oats lay in dryers to a height of approximately 3 m, oats in two driers (D1 and D2) and  
7 wheat in one (D3). The uppermost layer of D1 had been transferred to an airtight silo a few days  
8 before in an attempt to stop mould growth and further deterioration. From this airtight silo (AS), we  
9 obtained a sample, which had been rolled for cattle feed on the morning of sampling. Four replicate  
10 samples were taken in the now upper layer of D1 (0-1 m) to elucidate heterogeneity, while one,  
11 composite sample was taken in D2 and D3, respectively. Case 2: Combine harvested samples of  
12 barley, oats, spelt and winter wheat were received. On Nov. 15<sup>th</sup> dried spelt and wheat was sampled.  
13 Dried barley and oats was not sampled as these crops had been transferred to an airtight silo for  
14 cattle feed. Case 3: Combine harvested samples of barley, rye and spelt were received. On Nov. 28<sup>th</sup>  
15 dried samples were collected. Barley had been mixed with oats in a large silo for cattle feed, and  
16 one composite sample was taken in this mixture. Rye and spelt had been dried in closed, circular in-  
17 bin silos. One composite sample of rye and two of spelt (Da and Db) were taken at the outlet,  
18 placed at the bottom of the silos. Case 4: Combine harvested samples of triticale, winter wheat and  
19 spring wheat were received. On Nov. 28<sup>th</sup> dried samples were collected. One composite sample was  
20 taken in the spring wheat, placed in a natural air dryer. The winter wheat and triticale had been  
21 mixed and transferred to a loft to be used as cattle feed. A composite sample was taken from this  
22 lot. Case 5: Combine harvested samples of four different cultivars of spring spelt, grown in the  
23 same field were received. On Nov. 28<sup>th</sup> dried samples were collected. All spring spelt cultivars had  
24 been mixed during harvest and placed in the same low heat dryer. One composite sample was taken

1 from this lot. A composite sample was also taken of dried spring wheat, placed in the silo next to.  
2 At another location, the farmer dried and stored winter wheat and winter spelt to a height of  
3 approximately 4 m in large, low heat dryers. One composite sample was taken from each of these.  
4 Case 6: Combine harvested samples of spring wheat and winter wheat were received. On Nov. 28<sup>th</sup>  
5 dried composite samples were collected. The grain lay in natural air dryers, the winter wheat to a  
6 height of approximately 3 m and the spring wheat to approximately 1 m.

#### 7 8 *Enumeration of P. verrucosum contaminated kernels*

9 Upon arrival, all grain samples were transferred to airtight plastic containers and stored at 2°C until  
10 analysis. The number of *P. verrucosum* contaminated kernels was assessed by direct plating of  
11 kernels on the selective and indicative nutrient agar, Dichloran Yeast Extract Sucrose Agar with  
12 18% Glycerol (DYSG) [13-14]. Laboratory capacity did not allow all samples to be analysed upon  
13 receipt but with few exceptions all platings were performed within 1.5 month after sample receipt  
14 (Tables 2 and 3). Direct plating was performed in the following way: 300 kernels were drawn  
15 representatively from each sample and placed on DYSG with 10 kernels plate<sup>-1</sup>. There were a few  
16 exceptions to this procedure: *a)* the four replicate samples from Case 1 (D1a-d) from which 75  
17 kernels were tested (making up to a total of 300 for D1), *b)* the mixed sample from Case 3 from  
18 which 150 kernels of barley and oats, respectively, and *c)* the mixed sample from Case 4 from  
19 which 150 kernels of winter wheat and triticale, respectively, were tested. All plates were incubated  
20 for 7 days at 25°C. The kernels were not surface-disinfected prior to plating, because *P. verrucosum*  
21 is sensitive to this procedure [15]. Based on its terra-cotta coloured reverse, the number of kernels  
22 colonized by *P. verrucosum* were enumerated. These recordings were used to calculate the  
23 percentage of contamination (Cont. %). A number of *P. verrucosum* strains were  
24 chemotaxonomically characterised in order to verify the identity of the strains [16]. The strains

1 were grown on two substrates, Czapek Yeast Autolysate agar (CYA) and Yeast Extract Sucrose  
2 agar (YES). Agar plugs with mycelial growth were analysed for extracellular and intracellular  
3 metabolites using thin layer chromatography (tlc), and production of OTA, citrinin and verrucolon  
4 verifies that the strain belongs to *P. verrucosum* chemotype II [16].

5 Spelt is difficult to thresh with a combine harvester as most kernels adhere firmly to  
6 the spikelets. The forwarded spelt samples consisted mostly of spikelets but had varying amounts of  
7 threshed out kernels. Normally, kernels are left within the hull during drying and storage and not  
8 threshed out until processing at the mill. Therefore we included assessments of both spikelets and  
9 kernels. It was decided to examine spikelets from samples with few threshed out kernels and kernels  
10 from samples with many threshed out kernels. From one spelt sample with particular high content  
11 of threshed out kernels (Sample ID 14-2, Table 2) both spikelets, kernels and damaged kernels were  
12 analysed. For the four spring spelt samples from Case 5, 100 threshed kernels per sample were  
13 plated in addition to the 300 spikelets.

14

#### 15 *Analysis of moisture content*

16 The moisture content (MC) of the grain samples was determined according to the ISO 712:1998  
17 standard (2 h at 130°C). No pre-conditioning was performed and all moisture contents are given at  
18 wet basis (w.b.).

19

#### 20 *Sample preparation and analysis of Ochratoxin A*

21 OTA analyses were performed in the samples that had been stored at 2°C. The samples were ground  
22 in a stone mill (hawo's Oktagon II). This mill has a grinding chamber with adjustable mill stones  
23 (finest setting 1 and coarsest setting 10). The stones are constructed of corundum bound in ceramic.  
24 At finest setting, the milling capacity is 220 g min<sup>-1</sup>. Approximately 150 g were drawn

1 representatively from each sample. Wheat, barley, rye, and triticale were ground at setting 1.  
2 Spikelets of einkorn, spelt and emmer wheat were first ground at setting 10 followed by two  
3 grindings at setting 1. Oats was ground at setting 2.5. Between every two samples for OTA testing,  
4 a sample of bulk wheat (no contamination with *P. verrucosum*, OTA below limit of detection) was  
5 passed through the mill for cleaning purposes. When a sample had been ground, it was immediately  
6 stored at 2°C until analysis for OTA.

7           Samples were analysed for OTA according to Jørgensen & Jacobsen [7] with minor  
8 modifications. Stock solutions of OTA (Sigma, St. Louis, USA) of approximately 75 µg ml<sup>-1</sup> were  
9 made in toluene (99 v/v%)-acetic acid (1 v/v%). The exact concentration was measured by  
10 spectrophotometry at 330 nm by using  $\epsilon_{330} = 5550 \text{ cm}^{-1}\text{M}^{-1}$ . Stock solutions were stored at -20°C  
11 in portions of 1 ml for one year. Extraction and clean-up were carried out using Ochraprep  
12 immunoaffinity columns from Rhone Diagnostics Technologies (Glasgow, Scotland). Fifty grams  
13 of ground and homogenized sample were extracted with 200 ml aqueous 60 v/v% acetonitrile for  
14 two minutes in a Waring laboratory blender. The extract was filtered through a cellulose filter, and  
15 4 ml of the extract was mixed with 44 ml phosphate (PBS) buffer (pH 7.4). The immunoaffinity  
16 column was preconditioned with 10 ml PBS, and the diluted sample extract was sucked through the  
17 column at a flow rate of maximum 5 ml min<sup>-1</sup>. The column was washed with 15 ml water at a  
18 maximum flow rate of 5 ml min<sup>-1</sup> and subsequently dried by gentle vacuum. OTA was eluted with 3  
19 ml 99 v/v% methanol in 1 v/v% acetic acid (flow rate <1 ml min<sup>-1</sup>). After evaporation under  
20 nitrogen, the sample was dissolved in 200 µl HPLC mobile phase consisting of acetonitrile-water-  
21 acetic acid (50:49:1, v/v/v). Separation and detection of OTA were carried out using an RP-HPLC  
22 column (Hibar, LiChrosorb, 5 µm, 125 x 4 mm) at a flow rate of 1 ml min<sup>-1</sup> and fluorescence  
23 detection using 385 nm as the excitation wavelength and 440 nm as the emission wavelength  
24 (Hewlett Packard Model HP1100). Post-column addition of 6% ammonia in water at 0.8 ml min<sup>-1</sup>

1 was used. A standard solution (0–100 ng ml<sup>-1</sup>) was used every day for calibration and prepared  
2 daily by dilution of the stock solution with the HPLC mobile phase. Sample volumes of 25 µl were  
3 injected. In each analytical series, a spiking experiment was performed at between 3.3 and 6.4 ng  
4 OTA g<sup>-1</sup>. The mean recoveries and standard deviation (1 SD) for OTA was 94.1% ± 17.4% (n=17).  
5 The results were not corrected for recovery. The limit of detection (LOD) determined as the  
6 signal:noise ratio of 3:1 was approximately 0.1 ng OTA g<sup>-1</sup> during the period of measuring.

## 8 **Results**

9 The occurrence of *Penicillium verrucosum* was assessed as percentage of contamination (Cont. %) in 56 non-dried, combine harvested samples (C). Results for each sample are shown in Tables 2 and 10 3 and summarized in Table 4. The Cont. % was measured only once for each sample but no  
11 correlation was obtained between Cont. % and storage for up to 102 days at 2°C (results not  
12 shown). It is therefore assumed that storage prior to plating had no detectable effect on growth and  
13 proliferation of the fungus and thus no effect on the obtained Cont.%. *P. verrucosum* was found in  
14 82% of the non-dried samples with a maximum value of 58.7%. There was no statistically  
15 significant difference in Cont. % between non-dried samples received from the mill and the case  
16 study farms (P=0.434). Furthermore, no clear relationship was obtained between MC at harvest and  
17 *P. verrucosum* contamination in the 56 non-dried samples.

18  
19 The median contamination level for non-dried samples showed statistically significant  
20 differences among crop species (P=0.041, Table 4). The highest median values were obtained for  
21 spelt samples, both when spikelets were tested (5.0%) and when kernels were tested (median 4.4%).  
22 Spelt samples, from which kernels were assessed, showed significantly higher median  
23 contamination percentages than wheat, oats and barley samples but did not differ significantly from  
24 rye samples or spelt samples, from which spikelets were assessed.

1           Thirtythree non-dried and 22 dried samples were analysed for OTA (Tables 2 and 3).  
2   The OTA positive samples were divided into three groups (Table 4): 1) OTA below LOD, 2) OTA  
3   above LOD but below 5 ng g<sup>-1</sup> and 3) OTA above 5 ng g<sup>-1</sup>. The median Cont. % for the three groups  
4   with different levels of OTA was neither significantly different for non-dried (P=0.752) nor dried  
5   grain samples (P=0.177).

6           Figure 1 shows the relationship between MC and OTA in all samples containing OTA  
7   above the LOD. The figure clearly indicates that MCs above 17% are conducive to OTA production  
8   with two non-dried and five dried samples exceeding the EU limit of 5 ng OTA g<sup>-1</sup> grain. Only two  
9   samples with moisture contents above 17% contained no detectable OTA, both being non-dried  
10   grain (111-95 and 38-72, Table 3). Nine samples with MC below 17% contained OTA (Figure 1).  
11   Three were non-dried samples with MCs below 15% and six were dried samples. A dried spring  
12   wheat (115-100) with 16.7% MC contained 3.2 ng OTA g<sup>-1</sup> whereas the non-dried sample of this  
13   batch (48-38) had a similar MC and OTA <LOD. A dried spring wheat (126-97) with 12.2% MC  
14   contained 22 ng OTA g<sup>-1</sup> grain. The drying history of this grain is unknown, as the farmer did not  
15   forward a sample prior to drying. From the present data, no significant correlation was found  
16   between MC and the amount of OTA produced.

17           All case studies showed examples of large increases in *P. verrucosum* Cont. % during  
18   drying. For example the Cont. % in spring wheat in Case 4 increased from 0.7% in non-dried to  
19   37.3% in the dried grain. The highest OTA contents in dried samples were obtained in Case 1. Five  
20   samples ranged between 10 and 38 ng OTA g<sup>-1</sup>. These samples originated from a batch of oats that  
21   had been harvested late and dried at low heat with no aeration (Table 1) and which had MCs above  
22   18% (D1a-d). *P. verrucosum* contamination in these samples ranged between 11.3 and 16.3%. The  
23   farmer was aware that drying was too slow and had transferred an upper visibly mouldy layer to an  
24   airtight silo. A rolled sample of this grain contained less *P. verrucosum* contaminated kernels but

1 also exceeded the OTA limit for grain (D1-AS). An oats sample (D2) from another silo had a lower  
2 MC and its OTA content was only 0.5 ng g<sup>-1</sup> (D2) despite a much higher *P. verrucosum*  
3 contamination (39.7 %). This sample even contained a few kernels with macroscopically visible,  
4 sporulated colonies of *Penicillium*, among which *P. verrucosum*. These findings exemplify that  
5 generally heavily contaminated samples did not contain similarly high amounts of OTA (Figure 2).  
6 When comparing drying efficiency in the two silos, it must be taken into account that the sampling  
7 procedure used in this study did not fulfil the guidelines for official testing of OTA contents in large  
8 batches of grain (Commission Directive 2002/26/EC of 13 March 2002). Therefore results may not  
9 be representative for the whole batch of grain. In Case 4, no MC decrease was detected following  
10 drying of the spring wheat, and the OTA content increased from a level below LOD to 3.2 ng g<sup>-1</sup>  
11 after drying. In Case 5, four of the OTA positive non-dried samples originated from different  
12 cultivars of spring spelt grown in the same field. They had medium levels of *P. verrucosum* (2.0-  
13 8.7% for the spikelets and 2–11% for the threshed out kernels), while their contents of OTA ranged  
14 from 0.1 and 0.2 ng g<sup>-1</sup> in two of the cultivars to rather high levels of 18 and 92 ng g<sup>-1</sup> in the other  
15 two. The farmer mixed all four cultivars of spring spelt prior to drying, and the OTA content in a  
16 sample of this dried mixture (MC 11.4%) was 0.2 ng g<sup>-1</sup> grain. Case 2, 3 and 6 all contained  
17 samples with high contamination by *P. verrucosum* but none exceeded 0.5 ng OTA g<sup>-1</sup>.

18

## 19 **Discussion**

20 More than 80 % of the combine harvested samples contained *P. verrucosum* showing that much  
21 grain is contaminated prior to drying and storage. The major implication of early contamination is a  
22 latent risk of OTA production if the grain is not handled properly post-harvest. Considering the  
23 many contaminated kernels, this risk should be taken seriously especially if the grain is harvested at  
24 a high MC as discussed below. The origin of early contamination is not fully understood but some

1 soils contain *P. verrucosum* [8] and *P. verrucosum* conidia can survive in soil for many months  
2 [17]. Miller [9] pointed out that the combine may act as an efficient disseminator of fungal conidia  
3 within a batch of harvested grain and that infestation of some kernels by ochratoxigenic *P.*  
4 *verrucosum* is common at harvest. In 1998, Elmholt [8] analysed 35 combined samples from 15  
5 farmers and found 51% of the samples to be contaminated by *P. verrucosum* (median 0.6%,  
6 maximum 5.8%). Thus, the combined samples from the present study had more contaminated  
7 samples and higher mean contamination levels (Table 4). There may be several reasons for this. The  
8 summer of 2001 was warm and sunny but accompanied by many showers in some parts of the  
9 country. Harvest conditions were especially difficult in September with about 80% more rain than  
10 average [18]. Quite exceptionally for Danish conditions, much grain could not be gathered in at all.  
11 This explains some of the problems in late harvested crops as oats, spring wheat and spring spelt.  
12 Probably a more important reason is that the present study included many samples of spelt. Spelt  
13 was significantly more contaminated than wheat, barley and oats. A higher contamination in spelt  
14 than rye was also indicated though not statistically significant (Table 4). Rye is normally considered  
15 the most sensitive of the small grain cereals regarding contamination with *P. verrucosum* [8] as well  
16 as formation of OTA [6-7].

17           According to a prediction model for OTA in cereal grain introduced by Lindblad et al.  
18 [19], there is only little risk of significant OTA formation at MC of 17% and below, even at high  
19 inoculum potentials. At MCs of 19-24% the risk of OTA formation increases and will further  
20 increase when the inoculum potential is high. Our results also show that MC is critical to OTA  
21 formation (Figure 1) and that MCs above 17% constitute a serious risk of OTA formation. Eleven  
22 of 13 such samples contained OTA and seven exceeded the EU limit of 5 ng OTA g<sup>-1</sup> grain. Five of  
23 these originated from dried oats (Case 1) and the problems could be ascribed to insufficient on-farm  
24 drying of a late harvested crop with a MC of about 18%. As shown in Table 3, the grain did not

1 contain detectable amounts of OTA at the time of harvest. The other two samples with high MC and  
2 OTA contents originated from different cultivars of non-dried spring spelt. This grain had been  
3 harvested late in September and the two cultivars with MCs of 19.3 and 21.2%, respectively,  
4 contained 18 and 92 ng OTA g<sup>-1</sup> grain. However, two other cultivars from the same field with  
5 similarly high MCs, contained only small amounts of OTA. This indicates cultivar differences in  
6 susceptibility to OTA accumulation, especially because all four cultivars had similar Cont.% at  
7 harvest, both for spikelets (2-8%) and threshed out kernels (2-11%). These findings are in  
8 accordance with other studies on barley and wheat, which also report cultivar differences in OTA  
9 susceptibility [20-21].

10 As predicted by Lindblad et al. [19], samples with MC below 17% contained no or  
11 little OTA (Figure 1), nine exceeding the LOD. Three of these were non-dried samples, in which  
12 the small amounts of OTA had seemingly been formed in the field. Field produced OTA is  
13 generally not regarded a problem but low levels have been found [20; 22]. The remaining six  
14 samples were dried grain, in which the OTA had probably been produced at some time prior to or  
15 during drying where the MC had exceeded a critical level. For example, the dried spring wheat  
16 (126-97) contained 22 ng OTA g<sup>-1</sup> grain but only 12.2% moisture. Spring wheat is a late harvested  
17 crop in Denmark and many of the non-dried spring wheat samples contained more than 17%  
18 moisture. Although the drying history of this grain is unknown, the result illustrates that dried grain  
19 with low MC and a low number of contaminated kernels may contain OTA in significant amounts.

20 None of the winter spelt samples contained OTA above 1 ng g<sup>-1</sup> despite some were  
21 heavily contaminated by *P. verrucosum*. The reason is probably that winter spelt was harvested  
22 before the rainy period started. In opposition to this, spring spelt is harvested late and these samples  
23 all had high MCs and two contained high levels of OTA. Most of the winter spelt samples had MCs  
24 of 13.5-15.5%, *i.e.* well below the critical level for OTA production. Furthermore the glumes of

1 spelt, which is a ‘covered wheat’, may help to protect the kernels inside from fungal infection and  
2 OTA contamination as demonstrated by Riesen *et al.* [23] for damping off caused by *Pythium*.

3           The presence of *P. verrucosum* is regarded an indicator of OTA formation [24] and in  
4 the present study, all OTA contaminated samples did contain *P. verrucosum*. However, no linear  
5 relationship was obtained between OTA and Cont. % (Figure 2). This is in accordance with Lund &  
6 Frisvad [15], who suggested that microbial interactions in the grain and microbial interactions with  
7 their environment are responsible for this lack of correlation. Some kernels and spikelets were most  
8 likely not infected by the fungus but merely surface contaminated. This may also account for the  
9 lack of correlation between OTA and Cont. %. Surface contamination is normally assessed by  
10 comparing fungi on disinfected and non-disinfected kernels. However, surface sterilisation seems  
11 detrimental to the growth of *P. verrucosum* [15] and was therefore not used in either this study or  
12 the study by Lund and Frisvad [15]. Lund and Frisvad [15] proposed that 7% or more *P.*  
13 *verrucosum* contaminated kernels in a sample indicates that the 5 ng g<sup>-1</sup> limit of OTA is exceeded.  
14 Their study addressed wheat and barley but with no mention of whether samples originated from  
15 organically or conventionally cultivated grain. Our results did not support this hypothesis. Actually  
16 52% of the OTA-negative samples exceeded the 7% limit (21 non-dried, 10 dried) with maximum  
17 values of 35.7% for non-dried and 44.7% for dried samples and actually. This shows that presence  
18 of *P. verrucosum* on a high number of kernels does not necessarily imply OTA formation, which is  
19 in accordance with the assumption that a certain MC is required for the onset of OTA production.  
20 Because microbiological analysis for *P. verrucosum* is cheaper than OTA analysis, Lund & Frisvad  
21 [15] propose the 7% limit as a criterion for further action in barley and wheat, either condemnation  
22 of the cereal batch or a subsequent determination of OTA. Based on the results of the present study,  
23 this limit warrants further investigation at least in rye and spelt, which constituted the main part of  
24 our samples and which were not included in the study by Lund & Frisvad [15]. If the 7% limit is

1 used to decide whether or not to condemn a batch of grain, much grain containing no OTA might be  
2 condemned.

3

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10

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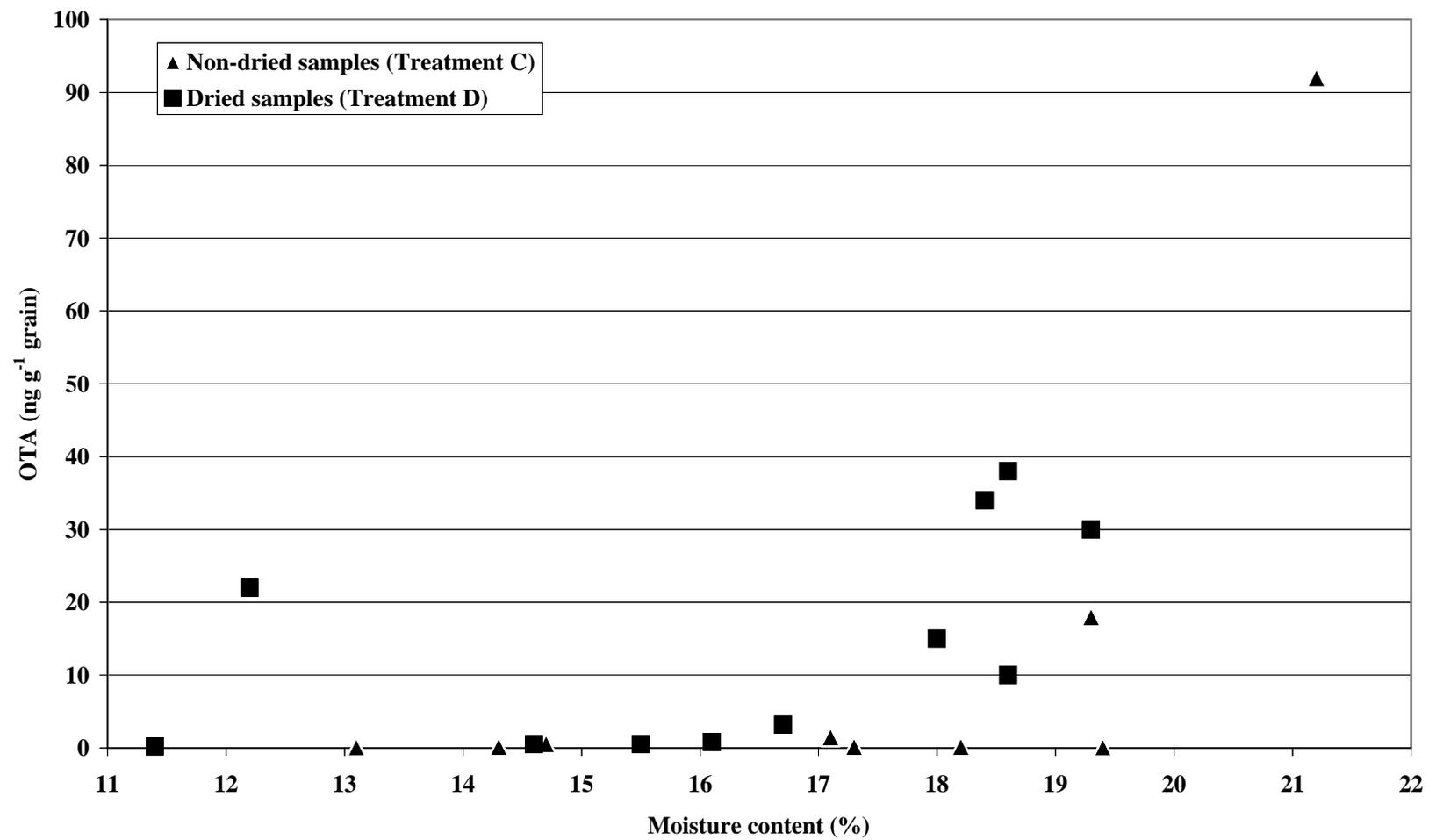
2 **Figure captions**

3 **Figure 1.** Relationship between MC of the grain samples (%) and Ochratoxin A contents (ng OTA  
4  $\text{g}^{-1}$  grain) in non-dried ( $\blacktriangle$ ) and dried ( $\blacksquare$ ) samples. Data is drawn from OTA tested samples of  
5 Treatments C and D in Tables 2 and 3.

6

7 **Figure 2.** Relationship between kernels/spikelets with growth of *P. verrucosum* (Cont. %) and  
8 Ochratoxin A contents (ng OTA  $\text{g}^{-1}$  grain) in non-dried and dried samples. Data is drawn from OTA  
9 tested samples of Treatments C and D in Tables 2 and 3.

10





**Table 1. Management data for case-study farms with on-farm drying**

	<b>Case 1</b>	<b>Case 2</b>	<b>Case 3</b>	<b>Case 4</b>	<b>Case 5</b>	<b>Case 6</b>
<b>Farm no.</b>	Farm 23	Farm 24	Farm 25	Farm 26	Farm 27	Farm 28
<b>Management</b>	Dairy	Dairy	Dairy	Dairy	Plant production	Plant production, few suckler cows
<b>Cereals in rotation</b>	wheat, spelt, oats, barley	wheat, spelt, oats	wheat, rye, spelt, oats, barley	wheat, oats	wheat, spelt	wheat, oats
<b>Home-grown seed</b>	No	Occational	Occational	Occational	No	No
<b>On-farm combine harvester</b>	Yes	Yes	Yes	Yes	Yes	No
<b>Drying system</b>	Low heat	Natural air	Low heat	Natural air	Low heat	Natural air
<b>Motor power of fan (KW)</b>	7.5	7.5	11	11	11	7.5
<b>Main duct</b>	Metal	Wood	Wood	Concrete and chipboard	Chipboard	Wood
<b>Side ducts</b>	Metal	Wire mesh with hessian covering	Wire mesh with hessian covering	Galvanised steel	Galvanised steel	Metal
<b>Heating</b>	Oil	No	Oil	No	Oil	No
<b>Aeration</b>	No	Yes	Yes	Yes	Yes	Yes
<b>Are cereals moved after drying</b>	No	No	No	Yes	No	No
<b>Grain thermometer</b>	Yes	No	Yes	Yes	Yes	Yes
<b>Moisture measurer</b>	Yes	No	Yes	Yes	Yes	Yes

**Table 2. Combined, non-dried (Treatment C) grain samples directly delivered to the mill**

Farm ID	Sample ID	Sample receival	Storage at 2°C (days)	Crop	Objects for determining Cont. %	Moisture (%)	<i>P. verrucosum</i> Cont. %	OTA (ng g <sup>-1</sup> ) <sup>a)</sup>
1	1-46	31-aug	13	Rye	Kernels	16,7	9,7	<LOD
	2-9	20-aug	16	W-spelt	Kernels	15,0	37,7	n.d.
	3-27	24-aug	28	W-spelt	Kernels	16,2	4,0	n.d.
	4-28	24-aug	28	W-spelt	Kernels	16,7	3,7	n.d.
2	5-32	24-aug	0	Barley	Kernels	15,2	1,0	n.d.
	6-34	24-aug	119	Rye	Kernels	14,8	0	n.d.
3	7-49	31-aug	75	Rye	Kernels	15,5	0	<LOD
	8-18	22-aug	14	W-spelt	Spikelets	15,7	0,3	<LOD
4	9-69	13-sep	0	Oats	Kernels	17,9	0	n.d.
	10-39	28-aug	8	Rye	Kernels	14,6	1,3	n.d.
	11-67	10-sep	10	S-wheat	Kernels	16,0	1,0	n.d.
5	12-30	24-aug	20	W-spelt	Spikelets	14,4	0	n.d.
6	13-20	22-aug	15	W-spelt	Spikelets	14,1	0,7	<LOD
7	14-2	15-aug	8	W-spelt	Spikelets	12,3	1,7	n.d.
					Kernels (U) <sup>b)</sup>	1,3	n.d.	
					Kernels (D) <sup>b)</sup>	0	n.d.	
8	15-5	20-aug	24	W-spelt	Spikelets	13,7	0	n.d.
9	16-65	10-sep	102	Rye	Kernels	17,1	2,0	1,5
	17-66	10-sep	102	W-spelt	Spikelets	15,5	7,7	<LOD
10	18-43	28-aug	13	W-wheat	Kernels	15,9	1,7	n.d.
	19-44	29-aug	13	W-spelt	Spikelets	13,7	0	n.d.
	20-45	30-aug	13	Barley	Kernels	14,4	0	n.d.
11	21-15	21-aug	17	W-spelt	Spikelets	15,0	21,0	<LOD
12	22-47	31-aug	14	W-spelt	Kernels	15,0	4,1	n.d.
13	23-50	31-aug	13	Emmer wheat	Spikelets	13,1	0	<LOD
14	24-13	21-aug	0	Barley	Kernels	13,3	2,0	<LOD
	25-4	20-aug	16	Einkorn	Spikelets	12,1	4,3	<LOD
	26-33	24-aug	0	Oats	Kernels	13,7	0,7	<LOD
	27-3	20-aug	2	Triticale	Kernels	14,6	9,3	<LOD
15	28-62	12-sep	49	W-spelt	Spikelets	14,7	58,7	0,6
16	29-17	22-aug	0	W-wheat	Kernels	14,3	2,7	0,2
17	30-29	24-aug	21	W-spelt	Kernels	15,1	6,3	n.d.
18	31-21	22-aug	23	W-spelt	Kernels	14,8	1,7	n.d.
19	32-1	15-aug	21	W-spelt	Kernels	14,1	7,3	n.d.
	33-8	20-aug	32	W-spelt	Kernels	13,3	4,7	n.d.
	34-19	22-aug	30	W-spelt	Kernels	13,8	43,7	n.d.
20	35-64	10-sep	51	Emmer wheat	Spikelets	14,5	9,3	<LOD
21	36-10	20-aug	1	Rye	Kernels	15,6	0	<LOD
22	37-52	31-aug	7	W-spelt	Kernels	15,7	0,3	n.d.

<sup>a)</sup> <LOD=lower than the limit of detection (0.1 ng g<sup>-1</sup>); n.d.=not determined

<sup>b)</sup> Threshed out kernels (U=Undamaged; D=damaged) of Sample 14-2

**Table 3. Combined, non-dried (Treatment C) and dried (Treatment D) grain samples from the Case study farms**

Farm ID	Sample ID	Sample receival	Storage at 2°C (days)	Crop	Treatment	Objects for determining Cont. %	Moisture (%)	<i>P. verrucosum</i> Cont. %	OTA (ng g <sup>-1</sup> ) <sup>a)</sup>
Case 1 (23)	38-72	19-sep	1	Oats	C	Kernels	17,7	0,7	<LOD
	101-86	15-nov	31	Oats	D (D2)	Kernels	14,6	39,7	0,5
	102-81	15-nov	36	Oats	D (D1a)	Kernels	19,3	16,3	30
	103-83	15-nov	36	Oats	D (D1b)	Kernels	18,4	12,5	34
	104-84	15-nov	36	Oats	D (D1c)	Kernels	18,6	11,3	38
	105-85	15-nov	36	Oats	D (D1d)	Kernels	18,6	11,3	10
	106-82	15-nov	41	Oats	D (D1-AS)	Rolled kernels	18,0	6,7	15
	39-54	03-sep	7	W-wheat	C	Kernels	14,5	4,0	<LOD
	107-87	15-nov	30	W-wheat	D	Kernels	15,3	24,7	<LOD
Case 2 (24)	40-51	12-sep	10	W-spelt	C	Spikelets	14,9	15,7	<LOD
	108-88	15-nov	8	W-spelt	D	Spikelets	14,5	10,0	<LOD
	41-40	28-aug	9	Barley	C	Kernels	15,5	0	n.d.
	42-41	28-aug	8	Oats	C	Kernels	13,7	0,3	n.d.
	43-42	28-aug	9	W-wheat	C	Kernels	14,5	0,3	<LOD
	109-78	15-nov	30	W-wheat	D	Kernels	16,3	2,0	<LOD
	44-16	22-aug	15	W-spelt	C	Spikelets	14,1	5,7	<LOD
	110-80	15-nov	1	W-spelt	D	Spikelets	15,5	27,7	0,5
	Case 3 (25)	45-53	03-sep	2	Barley	C	Kernels	13,1	1,7
111-95		28-nov	23	Barley/oats	D	Kernels	17,0	0	<LOD
46-12		21-aug	0	Rye	C	Kernels	14,0	35,7	<LOD
112-92		28-nov	5	Rye	D	Kernels	14,7	11,7	<LOD
47-14		21-aug	15	W-spelt	C	Spikelets	12,6	20,0	<LOD
113-93		28-nov	23	W-spelt	D - a	Spikelets	14,1	42,1	<LOD
Case 4 (26)	114-94	28-nov	5	W-spelt	D - b	Spikelets	14,5	44,7	<LOD
	48-38	28-aug	23	S-wheat	C	Kernels	16,5	0,7	<LOD
	115-100	28-nov	5	S-wheat	D	Kernels	16,7	37,3	3,2
	49-6	20-aug	31	Triticale	C	Kernels	12,9	13,0	<LOD
	50-37	28-aug	23	W-wheat	C	Kernels	15,7	0,3	<LOD
	116-101	28-nov	23	Triticale	D	Kernels	16,1	50,0	0,8
Case 5 (27)	51-73	24-sep	44	S-spelt	C	Spikelets	18,2	2,0	0,2
			44			Kernels		3,0	
	52-74	24-sep	44	S-spelt	C	Spikelets	19,3	4,0	18
			44			Kernels		2,0	
	53-75	24-sep	46	S-spelt	C	Spikelets	19,4	8,7	0,1
			46			Kernels		6,0	
	54-76	24-sep	46	S-spelt	C	Spikelets	21,2	7,7	92
			46			Kernels		11,0	
	125-96	28-nov	5	S-spelt	D	Spikelets	11,4	6,7	0,2
Case 6 (28)	126-97	28-nov	23	S-wheat	D	Kernels	12,2	2,7	22
	127-98	28-nov	23	W-wheat	D	Kernels	12,9	2,7	<LOD
	128-99	28-nov	23	W-spelt	D	Spikelets	14,7	32,0	<LOD
	55-11	21-aug	0	W-wheat	C	Kernels	14,4	1,0	<LOD
	129-90	28-nov	5	W-wheat	D	Kernels	14,5	1,7	<LOD
	56-59	07-sep	68	S-wheat	C	Kernels	17,3	2,0	0,20
	130-91	28-nov	5	S-wheat	D	Kernels	16,4	28,7	<LOD

<sup>a)</sup> <LOD=lower than the limit of detection (0.1 ng g<sup>-1</sup>); n.d.=not determined

**Table 4. *Penicillium verrucosum* contamination in combined, non-dried (C) and dried (D) samples as related to sample origin (mill vs. case study farms), crop species and ochratoxin A contents**

	Treatment	Number of samples	<i>Penicillium verrucosum</i>				
			Positive samples	Cont. % (Mean)	Cont. % (Median)	Cont. % (Maximum)	
Non-dried samples from the mill	C	37	28	6,7	1,7	0.434	58,7
Non-dried samples from case farms	C	19	18	6,5	2,0		35,7
Wheat	C	9	9	1,5	1.0 <sup>a</sup>	0.041	4,0
Spelt (spikelets investigated) <sup>b)</sup>	C	16	13	9,6	5.0 <sup>ab</sup>		58,7
Spelt (kernels investigated)	C	10	10	11,4	4.4 <sup>v</sup>		43,7
Rye	C	7	4	7,0	1.3 <sup>av</sup>		35,7
Oats	C	4	3	0,4	0.5 <sup>a</sup>		0,7
Barley	C	5	3	0,9	1.1 <sup>a</sup>		2,0
OTA <LOD (0.1 ng g <sup>-1</sup> )	C	24	21	6,8	3,0		0.752
LOD < OTA < 5 ng g <sup>-1</sup>	C	7	7	11,1	2,0	58,7	
OTA > 5 ng g <sup>-1</sup>	C	2	2	5,8	5,8	7,7	
OTA <LOD (0.1 ng g <sup>-1</sup> )	D	11	10	18,2	11,7	0.177	44,7
LOD < OTA < 5 ng g <sup>-1</sup>	D	5	5	33,6	37,3		56.7 <sup>v)</sup>
OTA > 5 ng g <sup>-1</sup>	D	6	6	10,1	11,3		16,3

<sup>a)</sup> Median values were compared by Kruskal Wallis test as the conditions did not allow the use of binominal distribution based methods. Though the median value of spelt samples from which spikelets were examined was the highest, it did not differ from any of the other groups. This might be due to very high variation within this group ranging from three samples with no contaminated spikelets to a sample with 58.7% contaminated spikelets.

<sup>b)</sup> Spelt samples where both spikelets and kernels were analysed (Table 2: 14-2. Table 3: 51-73, 52-74, 53-75 and 54-76) have been included in Table 4 as spikelet samples.

<sup>c)</sup> *P. verrucosum* contamination in sample 116-101 was calculated to be 56.7% (average of data from triticale and winter wheat)